

Applicant : Graham P. Allaway, et al.
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paragraph, as containing subject matter which was allegedly not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention without undue experimentation. The Office Action points out that the claims are broadly directed toward a method for inhibiting HIV-1 infection of CD4+ cells through the administration of a nonpeptidyl inhibitory agent that is capable of binding to a chemokine co-receptor required for viral entry. The Office Action additionally notes that (prior to the present amendments made herein) the claim(s) further stipulate that the [nonpeptidyl] agent of interest cannot be a bicyclam or a derivative thereof.

The Office Action then goes on to cite to several judicial decisions setting forth the legal considerations that govern enablement determinations pertaining to undue experimentation, i.e., In re Wands, 8 U.S.P.Q.2d 1400 (C.A.F.C. 1988) and Ex Parte Forman, 230 U.S.P.Q. 546 (PTO Bd. Pat. App. Int. 1987). The Office Action states that the courts in these cases concluded that several factual inquiries should be considered when assessing whether an invention is enabled, including:

- a) the quantity of experimentation necessary;
- b) the amount of direction or guidance presented;
- c) the presence or absence of working examples;
- d) the nature of the invention;
- e) the state of the prior art;

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- f) the relative skill of those in the art;
- g) the predictability or unpredictability of the art; and
- h) the breadth of the claims.

According to the Office Action, Applicants' disclosure of the invention fails to provide adequate guidance pertaining to a number of the above-listed considerations, as follows:

1) the disclosure fails to provide any guidance pertaining to the structural requirements of any given nonpeptidyl inhibitor that is not a bicyclam or derivative thereof. The disclosure fails to teach which chemical structures are critical for binding to any given chemokine coreceptor, and which structures are critical for the antiviral activity. The disclosure fails to identify any parent compounds, or derivatives thereof, that can reasonably be expected to function in the desired manner. Thus, the skilled artisan has been extended an undue invitation to further experimentation to try to identify putative antiviral agents and to determine their structures.

2) the disclosure fails to provide sufficient guidance pertaining to the molecular determinants modulating HIV-1 envelope/coreceptor/antiviral binding interactions. To design a putative therapeutic, the skilled artisan would need a knowledge of those portions of CCR5 or CXCR4 that would be targets of any given antiviral. The specification is silent

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pertaining to the above-described concern and fails to identify any critical regions of the chemokine coreceptors that should be the targets of antiviral development.

3) The disclosure fails to provide any working embodiments that meet the claimed limitations. The disclosure describes the identification of a putative antiviral agent (e.g., JM 3100). This compound is a bicyclam agent and does not fall within the claimed limitations. The Office Action states that there are no other examples involving nonpeptidyl agents provided in the disclosure.

4) The claims are of excessive breadth and encompass any given putative antiviral agent without providing any meaningful structural limitations concerning that agent. The disclosure fails to support such breadth in the claim language.

5) The prior art describes a number of concerns pertaining to the development of fusion inhibitors. First, the chemokine family includes a large number of proteins that share limited genetic relatedness (~20%). Thus it appears unlikely that any given inhibitor will have a broad range of activity, particularly in the absence of the identification of any critical molecular determinants that are shared by all members of the family. Second, even if a putative antiviral compound was identified, there are a number of important immunological or therapeutic concerns that need to be considered, upon which

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the disclosure is silent.

6) The prior art (i.e. Oberg and Vrang, 1990; Yarchoan and Broder, 1992; Gait and Karn, 1995; Flexner and Hendrix, 1997) provides a number of generic concerns pertaining to the development of any given putative antiviral compound to inhibit HIV-1 infection. It is well documented in the prior art that the development of suitable HIV-1 therapeutics has been a long and arduous process, often ending in failure. This is due to a number of considerations, such as:

- a) failure to understand the molecular determinants modulating many viral protein and host cell factor interactions;
- b) the failure of in vitro tissue culture studies and in vivo animal models to adequately predict clinical efficacy.
- c) the failure of many compounds to have acceptable pharmacological profiles, despite initial favorable in vitro and in vivo activities; and
- d) the failure of related structural analogs to function in the desired manner, which provides further evidence of the specificity of these molecular interactions.

The difficulties associated with developing efficacious anti-HIV-1 agents are best summarized by Gait and Karn 1995 at p.37.

The Office Action further states that Applicants' disclosure fails to provide any guidance pertaining to the above caveats, and when the aforementioned factors are considered in toto, it would require

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undue experimentation from the skilled artisan to practice the claimed invention.

Applicants respectfully traverse the Examiner's rejection based upon an alleged lack of enablement of the claimed invention. Applicants contend, for the reasons set forth below, that the claimed invention is fully enabled and that undue experimentation was therefore not required in order to practice the claimed invention. Applicants contend that one skilled in the art can readily follow the assay methodology presented in the subject application to identify nonpeptidyl agents capable of binding to a CCR5 Chemokine receptor and inhibiting fusion of HIV-1 or an HIV-1 infected cell to a CD4+ cell thereby inhibiting HIV-1 infection of a CD4+ cell in accordance with the invention recited in claim 61.

In support of this contention, Applicants attach hereto as Exhibit B, a Declaration under 37 C.F.R. § 1.132 of Dr. Tatjana Dragic. Submitted as Exhibit 3 to Dr. Dragic's application is an Abstract of a paper by Dr. William Olson et al., of which Dr. Dragic is a co-author, entitled, "Identification of CCR5 Coreceptor Inhibitors That Potently and Selectively Block HIV-1 Replication". This Abstract describes a methodology wherein, first, a Chemical compound library was subjected to a high throughput screening using a homogeneous cell-based Resonance Energy Transfer ("RET") assay, which RET assay is described in detail in the present application (see, e.g., Dragic Declaration ¶¶7-11). Following this RET assay, as further described in the Abstract, active compounds from the

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primary (i.e., RET) screen and analogs thereof were characterized using a series of secondary assays. These latter assays employed a series of env-complemented luciferase reporter viruses (as well as primary HIV-1 isolates). These luciferase assays are also, i.e., in addition to the RET assays discussed above, clearly disclosed and described in the specification of the present application (see Dragic Declaration, ¶16).

Using the above-identified RET assays, which is extensively disclosed and clearly described in Applicant's specification, the authors of the Abstract were able to readily identify nonpeptidyl compounds that meet the criteria set forth in the claim of the present application, i.e., inhibiting the fusion of HIV-1 or an HIV-1 infected cell to a CD4+ cell, without prior knowledge of the structure of the compounds that they were screening.

The Examiner has raised various concerns which he states the disclosure is silent in addressing (¶5). These concerns include: little likelihood of an inhibitor having a broad range of reactivity, immunological and therapeutic concerns.

Claim 61 has been amended herein. Based on this amendment, and as discussed more fully below, Applicants are of the belief that they should be afforded a priority date of June 13, 1997. As such, Applicants submit that the art cited in ¶5, is not prior art to the claimed invention. Further, the claim as amended utilizes a nonpeptidyl compound that binds the CCR5 chemokine receptor. Thus,

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there is no requirement that the nonpeptidyl inhibitor have a broad range of reactivity to all chemokine receptors. Moreover, the inhibitor, being nonpeptidyl in nature, has little if any likelihood of being immunogenic.

In terms of a nonpeptidyl agent having utility as a therapeutic, Dr. Dragic, as one skilled in the field of HIV research, has an expectation and belief that nonpeptidyl compounds and analogs thereof that bind that CCR5 Chemokine receptor of CD⁺ cells and inhibit fusion of HIV-1 of HIV-1 or HIV-1 infected cells as determined by the RET assay have a reasonable probability to inhibit and treat HIV-1 infection in humans (see, Dragic declaration ¶18).

The Examiner also cites to other prior art as a basis of doubting the utility of the method in inhibiting HIV-1 infection (¶6). In particular, the Examiner relies on Gait and Karn (1995) as summarizing the prior art, art which relates to protease inhibitors as antiviral drugs.

Applicants submit that the prior art is not germane to the present invention. The present invention is not a protease inhibitor. Thus any difficulties encountered in the development of a protease inhibitor as a clinical therapeutic are not applicable to the use of a nonpeptidyl agent that binds a CCR5 Chemokine receptor on CD⁴ cells and inhibits fusion.

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Applicants submit that one skilled in the art can practice the claimed invention by following the teachings in the application without a need for any undue experimentation. Therefore, the claimed invention is enabled.

Support For Invention In Priority Application(s)

The Examiner acknowledges, in ¶6 of the Office Action, Applicants' claims for domestic priority under 35 C.F.R. §119(e) and §120. The Examiner states, however, that the applications upon which priority is claimed fail to provide adequate support under 35 U.S.C. §112 for claims 61 and 65 of the present application. In particular, the earlier applications relied upon fail to provide adequate support for nonpeptidyl inhibitory agents that are not of the bicyclam family. The Office Action goes on to state that accordingly, for purposes of applying prior art, the effective filing date of the instant application will be 12 December, 1998.

In response thereto, Applicants note that claim 61 has been amended as shown in Exhibit A to, inter alia, delete the recitation of the negative limitation, i.e., that the non-peptidyl agent not be a bicyclam or a derivative thereof. Support for the invention as now set forth in amended claim 61 is present at least as far back as Applicants' application Serial No. 08/876,078 filed June 13, 1997, (see the discussion below of the §102(a) rejection for the specifics relating to this support). Applicants therefore submit that the effective filing date for the claim of the instant application should thus be the June 13, 1997 filing date of the

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08/876,078 application.

Rejection Under 35 U.S.C. §102

Claims 61 and 65 are rejected under 35 U.S.C. §102(a) as being anticipated by Howard et al., "Small Molecule Inhibitor of HIV-1 Cell Fusion Blocks Chemokine Receptor-Mediated Function", J. Leuk. Biol. 64:6-13, (July, 1998). The Examiner states that the teaching of the reference describes a method for inhibiting HIV-1 infection of CD4+ cells through the administration of a nonpeptidyl agent (e.g., NSC 651016, a distamycin analog) that binds to a chemokine receptor and is not a bicyclam or a derivative thereof. The Office Action goes on to state that this teaching clearly meets all of the claimed limitations. This §102(a) rejection is respectfully traversed by Applicants for the reasons set forth below.

Claim 61 (as amended) is directed to a method of inhibiting HIV-1 infection of a CD4+ cells. The method comprises contacting the CD4+ cell with a nonpeptidyl agent capable of binding to a CCR5 chemokine receptor in an amount and under conditions such that fusion of HIV-1 or an HIV-1 infected cell to the CD4+ cells is inhibited, so as to thereby inhibit HIV-1 infection of the CD4+ cells.

Support for the invention recited in the subject claim can be traced, as demonstrated below, at least as far back as Applicants' application No. 08/876,078, filed June 13, 1997, the benefit of whose filing date has been claimed in Applicants' Declaration and

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Power of Attorney filed in the present application. As set forth, for example, at p.4, lines 8-13 of the 08/876,078 application, the specification states:

This invention also provides a method for inhibiting HIV-1 infection of CD4+ cells which comprises contacting CD4+ cells with a non-chemokine agent capable of binding to a chemokine receptor in an amount and under conditions such that fusion of HIV-1 to the CD4+ cells is inhibited, thereby inhibiting the HIV-1 infection.

On the same page, at lines 23-24, the specification goes on to state that, "In a separate embodiment, the agent is a nonpeptidyl agent."

Still further, on p.12, at lines 16-20, the specification teaches:

A chemokine receptor means a receptor capable of binding RANTES, MIP-1- α , MIP-1- β or another chemokine which blocks HIV-1 infection. Such chemokine receptor includes but [is] not limited to CCR5, CXCR4, CCR3 and CCR-2B.

The recitations set forth above are by no means exhaustive. That is, they are provided only as illustrative examples of the teachings of the subject 08/876,078 application.

A review of the teachings of the subject application clearly demonstrates that the subject 08/876,078 application, filed June 13, 1997 completely supports the presently claimed invention as now recited in claim 61.

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In contrast, the Howard, et al., reference cited to reject claims 61 and 65 under 35 U.S.C. §102(a) has an effective date as a reference of June, 1998 as noted above. This June, 1998 reference date is over one year after the June 13, 1997 date to which the subject matter of claim 61 is entitled for the reasons indicated above. Applicants submit, therefore that the subject reference is thus not prior art to the claimed invention and thus that the §102(a) rejection of (remaining) claim 61 based upon the reference should be withdrawn.

For all of the reasons set for herein above, Applicants respectfully request that the Examiner reconsider and withdraw the various grounds of objection and rejection and earnestly solicit allowance of the now pending claim, i.e., no.61.

If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicants' undersigned attorney invites the Examiner to telephone at the number provided below.

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No fee, other than the enclosed \$460.00 fee for a three month extension of time, is deemed necessary in connection with the filing of this amendment. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No.03-3125.

Respectfully submitted,

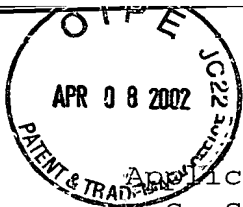
Mark A. Farley

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Mark A. Farley 4/2/02
Date

John P. White
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EXHIBIT A

TECH CENTER 1600/2900

Claim Amendments

--61. (3x amended) A method of inhibiting HIV-1 infection of a CD4+ cell which comprises contacting the CD4+ cell with a nonpeptidyl agent capable of binding to a CCR5 chemokine receptor in an amount and under conditions such that fusion of HIV-1 or an HIV-1 infected cell to the CD4+ cell is inhibited, so as to thereby inhibit HIV-1 infection of the CD4+ cells [,provided that the nonpeptidyl agent is not a bicyclam or a derivative thereof].--

--Cancel claim 65 without prejudice.--



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[Signature]

TECH CENTER 1600/2900

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Dkt. 50875-F-PCT-US/JPW/MAF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Graham P. Allaway, et al.
U.S. Serial No.: 09/460,216 Examiner: J. Parkin
Filed : December 13, 1999 Group Art Unit: 1648
For : METHODS FOR PREVENTING HIV-1 INFECTION OF
CD4+ CELLS
1185 Avenue of the Americas
New York, New York 10036

Assistant Commissioner for Patents
Washington, D.C. 20231

SIR:

DECLARATION UNDER 37 C.F.R. §1.132 OF TATJANA DRAGIC

I, Tatjana Dragic, Ph.D., hereby declare that:

1. I am employed by Albert Einstein College of Medicine, Bronx, New York as an Assistant Professor of Microbiology and Immunology. I received a Ph.D. degree in Molecular and Cellular Biology from the University of Paris and I have 13 years of experience in the field of HIV research. A copy of my curriculum vitae is attached hereto as Exhibit 1.
2. I am married to Dr. Paul J. Maddon, who is a coinventor on the above-identified patent application, and who is the Chief Executive Officer of Progenics Pharmaceuticals, Inc., the assignee of the above-identified patent application.
3. I have read and am familiar with the specification and claims of the above-identified application.

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TECH CENTER 1600/2900

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4. I understand the claimed invention to be a method of inhibiting HIV-1 infection of a CD4+ cell, wherein the method comprises contacting a CD4+ cell with a nonpeptidyl agent capable of binding to a CCR5 chemokine receptor in an amount and under conditions such that fusion of HIV-1 or an HIV-1 infected cell to the CD4+ cell is inhibited, so as to thereby inhibit HIV-1 infection of the CD4+ cell.
5. I understand that a patent for the claimed invention has been rejected by the United States Patent and Trademark Office for allegedly not being enabled by the application's specification.
6. I and my colleagues in the field of research involving the human immunodeficiency virus ("HIV") knew of the Resonance Energy Transfer ("RET") assay and the use the RET assay to screen for compositions having an effect on HIV-1 or HIV-1 infected cell-CD4+ fusion. The assay was well known by those skilled in the field as of April 2, 1996. Evidence of such knowledge includes PCT International Publication No. WO 95/16789 entitled, "Methods For Using Resonance Energy Transfer-Based Assay of HIV-1 Envelope Glycoprotein-Mediated Membrane Fusion, and Kits For Practicing Same", published June 22, 1995. A copy of WO 95/16789 is attached hereto as Exhibit 2.
7. Based on the patent application (as discussed below in ¶¶9-13) and the general knowledge in the field concerning the use of Resonance Energy Transfer assays as of April 2, 1996, as evidenced by Exhibit 2 (noted

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in ¶6 above), one skilled in the art could readily, without the need for any significant, i.e., undue, amount of experimentation, have utilized such RET assays for determining whether any given nonpeptidyl agent is capable of inhibiting, and using such agent to inhibit, the fusion of HIV-1 or an HIV-1 infected cell to a CD4+ cell.

8. As of April 2, 1996 the level of ordinary skill in the art of utilizing such RET assays for measuring cell fusion between a CD4+ cell and HIV-1 or an HIV-1 infected cell was a master's degree or higher in cell biology or a related field and at least one year of experience. Such a person of ordinary skill, using an RET assay, could have readily determined, without the need for any significant or undue amount of experimentation, the inhibition of fusion between HIV-1 or an HIV-1 infected cell and a CD4+ cell having a CCR5 chemokine receptor, as recited in the present invention, as of April 2, 1996. In summary, the invention as taught in the present specification may be readily practiced by one of ordinary skill in this art with the use of the well-understood, as of the time of the invention, RET screening technique for determining specific nonpeptidyl agents which will inhibit fusion between a CD4+ cell and HIV-1 or an HIV-1 infected cell.
9. The present application provides substantial disclosure, and thus a significant degree of guidance, as to the use of RET assays for determining the presence of cell fusion, which assays are, as noted above, well-understood, and which provide reliable,

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reproducible results which are readily capable of being practiced by one of ordinary skill. The application teaches, at p.1, line 32 to p.2, line 2, that a RET assay offers a significant advantage in the art in determining whether compounds including chemokines differentially inhibit fusion mediated by the envelope glycoprotein from the primary macrophage-tropic isolate of HIV-1_{JR-FL}, in comparison to fusion mediated by the envelope glycoprotein from the laboratory adapted T lymphotropic strain HIV-1_{LAI}.

10. The specification goes on to disclose, at p.3, lines 1-7, the use of an RET assay for identifying non-chemokines which, according to the teaching of the application, include non-peptidyl agents (see ¶12 below), that inhibit HIV-1 envelope glycoprotein mediated membrane fusion and which thereby neutralize the HIV-1 virus without producing an inflammatory response.
11. The specification of the application further provides, at p.5, line 28 to p.6, line 7, a description of the RET assay for use in determining, in accordance with the claimed invention, whether any given non-chemokine agent inhibits the fusion of HIV-1 to a CD4+ cell.
12. More specifically, the specification discloses, at p.19, line 22, a particular RET assay methodology for determining whether a non-chemokine agent is capable of inhibiting the fusion of HIV-1 to a CD4+ cell. Such non-chemokine agents are defined, on p.20, lines 26-27, to include nonpeptidyl agents. These agents are specifically useful in the invention claimed in the

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present application.

13. The Experimental Details section of the application's specification teaches (see, in particular, pages 26 and 34), the use of RET assays for testing the effect of a variety of materials with the aim of using such materials to prevent fusion between HIV-1 or HIV-1 infected cells and CD4+ cells. Table 2 on p.35 of the specification provides a summary of the effect of β -chemokines on HIV-1 envelope glycoprotein-mediated membrane fusion, as measured using an RET assay. See also, p.40, lines 25-34 of the specification discussing an RET assay of the fusion capacity of β -chemokine receptors. More particularly the specification provides a working example, in the Fourth series of Experiments (in the paragraph bridging pp.45-46 of the text) of the use of a specific nonpeptidyl agent, i.e., the bicyclam, JM 3100, for inhibiting HIV-1 envelope-mediated membrane fusion. The specification states, with regard to this nonpeptidyl agent that, as illustrated in Fig. 7 of the application, JM 3100 specifically and potently inhibits fusion mediated by gp120/gp41 from the HIV-1_{LAI} strain, but not from the HIV-1_{JR-FL} strain (p.46, lines 7-10). Thus the specification provides substantial guidance in practicing the claimed method of the invention to one of ordinary skill in this art.
14. It is not necessary for one of ordinary skill in this art, searching for nonpeptidyl agents which will bind to a CCR5 chemokine receptor located on a CD4+ cell so as to inhibit fusion between HIV-1 or an HIV-1

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infected cell and a CD4+ cell, to know in advance the structure of the agent. This is clearly demonstrated by the disclosure contained in the Abstract of Olson, et al., "Identification of CCR5 Coreceptor Inhibitors That Potently and Selectively Block HIV-1 Replication" (the Olson, et al. Abstract). I am a co-author of this Abstract and a copy is attached hereto as Exhibit 3. This Abstract was presented at the 9th Conference on Retroviruses and Opportunistic Infection held in Seattle, Washington from February 24 to February 28, 2002.

15. The Olson, et al. Abstract provides data which demonstrates that using the RET method of screening, as disclosed in the specification of the present application, nonpeptidyl compounds were identified that are useful in the claimed invention (see ¶4 above) without prior knowledge of the structure of these nonpeptidyl compounds and without the need for any significant, i.e., undue, amount of experimentation. As set forth in the Olson, et al. Abstract, following high throughput screening of a chemical compound library, a cell-based RET assay identified multiple active compounds. The compounds, and analogs thereof, identified as inhibiting fusion between CD4+ cells and HIV-1 or HIV-1 infected cells from the RET assay, were further characterized using secondary assays (see ¶16 below). The Olson et al. Abstract thus discloses that without advance knowledge of the structure of the compounds that specifically block CCR5-mediated, but not CXCR4-mediated HIV-1 cell-cell and virus-cell-fusion, compositions, including nonpeptidyl compounds, were readily defined

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without the necessity for any significant amount of experimentation.

16. The secondary assays, mentioned in ¶15 above, are described in the Olson, et al. Abstract, as employing a series of env-complemented luciferase reporter viruses (as well as primary HIV-1 isolates). These assays are discussed in detail at, for example, pp.31-34 of the present application. The results of the use of these assays are summarized at Table 1 p.32 and Table 3 at P. 37 of the specification. This disclosure thus clearly established that luciferase assays as in the Olson, et al. Abstract, were also well understood by one of ordinary skill in the art at least as of the filing date of the present application.
17. In summary, even without prior knowledge of the structure of the compounds sought, but with knowledge of their desired use, one of ordinary skill in the art at the time the invention was made would be readily able, relying upon the detailed teachings or guidance concerning the RET assay provided in the present specification, determine without undue experimentation appropriate nonpeptidyl agents useful in the claimed method, which claim is clearly commensurate in scope with the disclosure of the invention as taught in the specification of the application.
18. It is my expectation and belief that nonpeptidyl compounds and analogs thereof that bind the CCR5 chemokine receptor of CD4+ cells and inhibit fusion of HIV-1 or HIV-1 infected cells as determined by the RET assay have reasonable probability to inhibit and treat

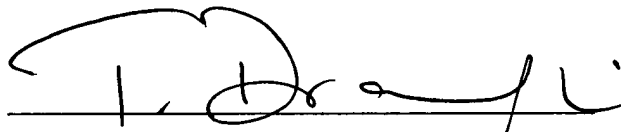
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HIV-1 infection in humans.

19. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date:

3/31/02


Tatjana Dragic, Ph.D.

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Education & Research Experience

- 1988:** B.S. in Biochemistry - University of Paris 6, Paris, France
- 1989:** M.S. in Molecular and Cellular Biology - University of Paris 6, Paris, France
Laboratory of Hormones and Reproduction, Kremlin-Bicetre Hospital, Paris, France
- 1994:** Ph.D. in Molecular and Cellular Biology - University of Paris 6, Paris, France
Cochin Institute of Molecular Genetics, Paris, France
- 1998:** Post-doctoral training
Aaron Diamond AIDS Research Center, The Rockefeller University, New York, NY

Appointments

- 1999:** Staff Investigator, Aaron Diamond AIDS Research Center,
Assistant Professor, The Rockefeller University, New York, NY
- 2000:** Assistant Professor, Department of Microbiology and Immunology
Albert Einstein College of Medicine, Bronx, NY

Fellowships and Awards

- 1989:** French Ministry of Research and Technology Doctoral Fellowship (France)
- 1992:** Association for AIDS Research Doctoral Fellowship (France)
- 1995:** Association for Cancer Research Post-Doctoral Award (France)
- 1995:** Aaron Diamond Foundation Post-Doctoral Fellowship
- 2001:** Speaker's Fund for Biomedical Research, Award for Individual Investigators

Original Research Publications

- 1) Gougeon M-L., R. Olivier, S. Garcia, D. Guetard, **T. Dragic**, C. Dauguet and L. Montagnier. 1991. Evidence for an engagement process towards apoptosis in lymphocytes of HIV-infected patients. *C. R. Acad. Sci. Paris*, t.312: 529-537
- 2) **Dragic T.**, P. Charneau, F. Clavel and M. Alizon. 1992. Complementation of murine cells for human immunodeficiency virus envelope/CD4-mediated fusion in human/murine heterokaryons. *J. Virol.* 66: 4794-4802
- 3) **Dragic T.** and M. Alizon. 1993. Different requirements for membrane fusion mediated by the envelopes of human immunodeficiency virus types 1 and 2. *J. Virol.* 67: 2355-2359
- 4) Alizon M. and **T. Dragic**. 1994. CD26 antigen and HIV fusion? *Science* 264: 1161-1162
- 5) Lazaro I., D. Nanche, N. Signoret, A.M. Bernard, D. Marguet, D. Klatzmann, **T. Dragic**, M. Alizon and Q. Sattentau. 1994. Factors involved in entry of the human immunodeficiency virus type 1 into permissive cells: lack of evidence of a role for CD26. *J. Virol.* 68: 6536-6546
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(57) Abstract

The subject invention provides methods for determining whether an agent is capable of either inhibiting or specifically inhibiting the fusion of a CD4+ cell with an HIV-1 envelope glycoprotein+cell. The subject invention also provides a method for determining whether an agent is capable of specifically inhibiting the infection of a CD4+cell with HIV-1. This invention also provides methods for quantitatively determining the ability of an antibody-containing sample to either inhibit or specifically inhibit the fusion of CD4+cell with an HIV-1 envelope glycoprotein+cell.

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5 METHODS FOR USING RESONANCE ENERGY TRANSFER-BASED ASSAY
OF HIV-1 ENVELOPE GLYCOPROTEIN-MEDIATED MEMBRANE FUSION,
AND KITS FOR PRACTICING SAME

Background of the Invention

10 Throughout this application, various publications are referenced. The disclosure of these publications is hereby incorporated by reference into this application to describe more fully the art to which this invention pertains.

15 HIV infects primarily helper T lymphocytes and monocytes/macrophages--cells that express surface CD4--leading to a gradual loss of immune function which results in the development of the human acquired immune deficiency syndrome (AIDS). The initial phase of the HIV
20 replicative cycle involves the high affinity interaction between the HIV exterior envelope glycoprotein gp120 and the cellular receptor CD4 (Klatzmann, D.R., et al., Immunodef. Rev. 2, 43-66 (1990)). Following the attachment of HIV to the cell surface, viral and target
25 cell membranes fuse, resulting in the introduction of the viral genome into the cytoplasm. Several lines of evidence demonstrate the requirement of this interaction for viral infectivity. In vitro, the introduction of a functional cDNA encoding CD4 into human cells which do
30 not normally express CD4 is sufficient to render these otherwise resistant cells susceptible to HIV infection (Maddon, P.J., et al., Cell 47, 333-348 (1986)).

35 Characterization of the interaction between HIV gp120 and CD4 has been facilitated by the isolation of cDNA clones encoding both molecules (Maddon, P.J., et al., Cell 42, 93-104 (1985), Wain-Hobson, S., et al., Cell 40, 9-17

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(1985)). CD4 is a nonpolymorphic, lineage-restricted cell surface glycoprotein that is a member of the immunoglobulin gene superfamily. High-level expression of both full-length and truncated, soluble versions of CD4 (sCD4) have been described in stable expression systems. The availability of large quantities of purified sCD4 has permitted a detailed understanding of the structure of this complex glycoprotein. Mature CD4 has a relative molecular weight of 55,000 and consists of an amino-terminal 372 amino acid extracellular domain containing four tandem immunoglobulin-like regions denoted V1-V4, followed by a 23 amino acid transmembrane domain and a 38 amino acid cytoplasmic segment. Experiments using truncated sCD4 proteins demonstrate that the determinants of high-affinity binding to HIV gp120 lie within the amino-terminal immunoglobulin-like domain V1 (Arthos, J., et al., Cell 57, 469-481 (1989)). Mutational analysis of V1 has defined a discrete gp120-binding site (residues 38-52 of the mature CD4 protein) that comprises a region structurally homologous to the second complementarity-determining region (CDR2) of immunoglobulins (Arthos, J., et al., Cell 57, 469-481 (1989)).

The HIV-1 envelope gene env encodes an envelope glycoprotein precursor, gp160, which is cleaved by cellular proteases before transport to the plasma membrane to yield gp120 and gp41. The membrane-spanning glycoprotein, gp41, is non-covalently associated with gp120, a purely extracellular glycoprotein. The mature gp120 molecule is heavily glycosylated (approximately 24 N-linked oligosaccharides), contains approximately 480 amino acid residues with 9 intra-chain disulfide bonds (Leonard, C.K., et. al., J. Biol. Chem. 265, 10373-10382 (1990)), and projects from the viral membrane as a

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dimeric or multimeric molecule (Earl, P.L., et. al. Proc. Natl. Acad. Sci. U.S.A. 87, 648-652 (1990)).

5 Mutational studies of HIV-1 gp120 have delineated important functional regions of the molecule. The regions of gp120 that interact with gp41 map primarily to the N- and C- termini (Helseth, E., et. al., J. Virol. 65, 2119-2123 (1991)). The predominant strain-specific neutralizing epitope on gp120 is located in the 32-34
10 amino acid residue third variable loop, herein referred to as the V3 loop, which resides near the center of the gp120 sequence (Bolognesi, D.P. TIBTech 8, 40-45 (1990)). The CD4-binding site maps to discontinuous regions of gp120 that include highly conserved or invariant amino
15 acid residues in the second, third, and fourth conserved domains (the C2, C3 and C4 domains) of gp120 (Olshevsky, U., et al. J. Virol. 64, 5701-5707 (1990)). It has been postulated that a small pocket formed by these conserved residues within gp120 could accommodate the CDR2 loop of
20 CD4, a region defined by mutational analyses as important in interacting with gp120 (Arthos, J., et al., Cell 57, 469-481 (1989)).

25 Following the binding of HIV-1 gp120 to cell surface CD4, viral and target cell membranes fuse, resulting in the introduction of the viral capsid into the target cell cytoplasm (Maddon, P.J. et al., Cell 54:865 (1988)). Most evidence to date indicates that HIV-1 fusion is pH-independent and occurs at the cell surface. The HIV-1
30 fusion protein is gp41, the transmembrane component of the envelope glycoprotein. This protein has a hydrophobic fusion peptide at the amino-terminus and mutations in this peptide inhibit fusion (Kowalski, M. et al., Science 237:1351 (1987)). In addition to gp41,
35 recent observations suggest that gp120 plays a role in

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membrane fusion distinct from its function in attachment. For example, antibodies to the principle neutralizing epitope on gp120, the V3 loop, can block infection without inhibiting attachment (Skinner, M.A. et al., J. Virol. 62:4195 (1988)). in addition, mutations in the tip of this loop reduce or prevent syncytia formation in HeLa-CD4 cells expressing the mutated gp120/gp41 molecules (Freed, E.O. et al., J. Virol. 65:190 (1991)).

Several lines of evidence have implicated molecules in addition to CD4 and gp120/gp41 in HIV-1 induced membrane fusion. For example, recent studies have indicated that human cells may contain an accessory molecule, not present in non-primate cells, which is required for HIV-1 fusion (Dragic, T. et al., J. Virol. 66:4794 (1992)). The nature of this accessory molecule or molecules is unknown. While some studies have postulated it might be a cell surface protease (Hattori, T. et al., Febs. Lett. 248:48 (1989)), this has yet to be confirmed.

Fusion of the HIV-1 virion with the host cell plasma membrane is mimicked in many ways by the fusion of HIV-1 infected cells expressing gp120/gp41 with uninfected cells expressing CD4. Such cell-to-cell fusion results in the formation of multinucleated giant cells or syncytia, a phenomenon observed with many viruses which fuse at the cell surface. Much of our current understanding of HIV-1-induced membrane fusion is derived from studies of syncytium formation. For example, this approach was used to demonstrate that expression of HIV-1 gp120/gp41 in a membrane, in the absence of any other viral protein, is necessary and sufficient to induce fusion with a CD4⁺ membrane (Lifson, J.D. et al., Nature 323:725 (1986)).

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Compared with virion fusion to cells, syncytium formation induced by HIV-1 appears to involve an additional step. First, the gp120/gp41-bearing membrane fuses with the CD4- bearing membrane. This is a rapid and reversible process which connects the membranes at localized sites and allows membrane-bound dyes to flow from one cell to the other (Dimitrov, D. et al., AIDS Res. Human Retroviruses 7:799 (1991)). This step presumably parallels the attachment of a virion to a CD4⁺ cell and the fusion therebetween. The second stage in cells fusion is the irreversible fusion of cells to form syncytia. The efficiency of this process is increased by the interaction of cellular adhesion molecules such as ICAM-1 and LFA-1, although these molecules are not absolutely required for syncytium formation to proceed (Golding, H. et al., AIDS Res. Human Retroviruses 8:1593 (1992)).

Most of the studies of HIV-1 fusion, including those discussed above, have been performed with strains of HIV-1 which have been extensively propagated in transformed human T cell lines. These strains, known as laboratory-adapted strains, differ in several important characteristics from primary or clinical isolates of the virus obtained from HIV-1 infected individuals (O' Brien, W.A. et al., Nature 348:69 (1990)). Some examples of these differences are listed in the table below.

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	Laboratory adapted Strains	Primary Isolates
5	tropic for transformed T cell lines, do not infect primary monocytes	many are tropic for primary monocytes and do not infect transformed T cell lines
	very sensitive to neutralization by soluble CD4	relatively insensitive to neutralization by sCD4
10	gp120 spontaneously dissociates from gp41, and this stripping is increased by sCD4	little spontaneous stripping and sCD4 only causes stripping at 4°C, not at 37°C

15 These differences are mirrored by differences in the primary sequence of the viral proteins, and in particular of the envelope glycoproteins. In some cases, the different tropisms of primary isolates and laboratory-adapted strains of HIV-1 have been mapped to regions on

20 gp120 such as the V3 loop (O' Brien, W.A. et al., Nature 348:69 (1990)). It is possible that different V3 loops interact with different accessory molecules on T cell lines or monocytes, thereby mediating tropism.

25 HIV-1 envelope-mediated cell fusion is a model for the early stages of HIV-1 infection and can be used as an assay for anti-viral molecules which block HIV-1 attachment and fusion (Sodroski, J. et al., Nature 322:470 (1986), Lifson, J.D. et al., Nature 323:725 (1986)).

30 Moreover, HIV-1 induced cell fusion is important in its own right as a potential mechanism for the pathogenesis of HIV-1 infections. It is a mode of transmission of HIV-1 from infected to uninfected cells (Gupta, P. et al., J. Virol. 63:2361 (1989), Sato, H. et al., Virology

35 186:712 (1992)) and by this mechanism, it could contribute to the spread of HIV-1 throughout the body of

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the infected individual. Cell fusion is also a direct mechanism of HIV-1-induced cell death (Sodroski, J. et al., Nature 322:470 (1986), Lifson, J.D. et al., Nature 323:725 (1986)). Syncytia are seen in vivo, notably in
5 the brains of AIDS patients suffering from neurological complications such as AIDS dementia complex (Pumarola-Sune, T. et al., Ann. Neurol. 21:490 (1987)). In addition, syncytia have been observed in the spleens of HIV-1-infected individuals (Byrnes, R.K. et al., JAMA
10 250:1313 (1983)). It is possible that cell fusion may play a role in the depletion of CD4⁺ T lymphocytes that is characteristic of the pathogenic process leading to AIDS (Haseltine, W.A. in AIDS and the new viruses, Dalglish, A.G. and Weiss, R.A. eds. (1990)).

15 In this context, it may be significant that HIV-1 isolates from asymptomatic HIV-1-infected individuals often infect cells in vitro without inducing syncytia. In contrast, clinical isolates from patients with ARC and
20 AIDS are commonly highly virulent, syncytia-inducing strains (Tersmette, M. et al., J. Virol. 62:2026 (1988)). In addition, there is often a switch from non-syncytium inducing (NSI) to syncytium-inducing (SI) isolates within patients as the disease progresses and symptoms appear
25 (Tersmette, M. et al., J. Virol. 63:2118 (1989), Cheng-Mayer, C. et al., science 240:80 (1988)). It is not clear why some HIV-1 strains do not induce syncytia, although it is possible that cells infected with these strains do not express sufficient levels of gp120/gp41
30 for cell fusion to occur, by analogy with some other fusogenic viruses. However, it is believed that this switch from NSI to SI HIV-1 strains influences the clinical course of HIV-1 infection. The presence of naturally occurring anti-syncytia antibodies in some
35 subjects may delay the development of HIV-1 related

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diseases in these subjects (Brenner, T.J. et al., Lancet 337:1001 (1991)).

5 The development of methods for measuring HIV-1 envelope glycoprotein-mediated membrane fusion serves a useful role in further elucidating the mechanism of HIV-1 infection, and enabling the identification of agents which alter HIV-1 envelope glycoprotein-mediated cell fusion. At present there exist several potential methods
10 for measuring such fusion.

The first is an assay of HIV-1 envelope glycoprotein-mediated cell fusion in which fusion is measured microscopically by measuring the transfer of fluorescent
15 dyes between cells (Dimitrov, D.S., et al., AIDS Res. Human Retroviruses 7: 799-805 (1991)). This technique measures dye distribution rather than fluorescence intensity and as such cannot be performed using fluorometer. The assay would not be easily automated
20 and has not been performed with cells which stably express the HIV-1 envelope glycoprotein.

The second is an assay for HIV-1 envelope-mediated cell fusion measured between (a) cells which stably express
25 the HIV-1 tat gene product in addition to gp120/gp41, and (b) CD4⁺ cells which contain a construct consisting of the β -galactosidase gene under the control of the HIV-1 LTR promotor. When these cells fuse, β -galactosidase is expressed and can be measured using an appropriate
30 soluble or insoluble chromogenic substrate (Dragic, T., et al., Journal of Virology 66:4794 (1992)). This assay takes at least 1 day to perform and cannot easily be adapted to new target cells such as primary macrophage cells. This assay also does not measure cell fusion in
35 real time and is thus not amenable to use in analyzing

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fusion kinetics.

Finally, the third is a fluorescence dequenching assay for the fusion of HIV-1 virions to cells (Sinangil, F.,
5 et al., FEBS Letters 239:88-92 (1988)). This assay requires the use of purified HIV-1 virions, and both the purification of HIV-1 virions and the assay must be performed in a containment facility. It would be difficult to readily isolate sufficient quantities of
10 clinical virus isolates to perform the assay. Furthermore, this assay is more complicated and less reproducible than a RET assay using cells which stably express HIV-1 envelope glycoproteins and CD4.

15 The methods of the subject invention employ a resonance energy transfer (RET) based assay which overcomes the problems inherent in the above-identified methods for measuring HIV-1 envelope glycoprotein-mediated membrane fusion. Specifically, the methods of the subject
20 invention employ a RET assay which is rapid, reproducible, quantitative, adaptable to various cell types, and relatively safe, and can be automated.

Summary of the Invention

5 The subject invention provides a method for determining whether an agent is capable of specifically inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell which comprises: (a) contacting a sample containing a suitable amount of the agent with a suitable amount of the CD4⁺ cell and a suitable amount of
10 the HIV-1 envelope glycoprotein⁺ cell under conditions which would permit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell in the absence of the agent, the cell membranes of the CD4⁺ cell and the HIV-1 envelope glycoprotein⁺ cell being labeled with a first dye and a second dye, respectively, which first and second
15 dyes permit resonance energy transfer therebetween only when juxtaposed within the same membrane; (b) determining the percent resonance energy transfer value of the resulting sample after a suitable period of time; (c)
20 comparing the percent resonance energy transfer value so determined with a known standard, so as to determine whether the agent is capable of inhibiting fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell; and (d) determining whether the agent inhibits the fusion of
25 a first control cell with a second control cell under conditions which would permit non-HIV-1 envelope glycoprotein-mediated fusion of the first and second control cells in the absence of the agent, so as to determine whether the agent is capable of specifically
30 inhibiting the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell.

The subject invention also provides a method for determining whether an agent is capable of specifically
35 inhibiting the infection of a CD4⁺ cell with HIV-1

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which comprises determining whether the agent is capable of specifically inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell by the method of the subject invention, so as to thereby determine whether the agent is capable of specifically inhibiting the infection of a CD4⁺ cell with HIV-1.

The subject invention further provides a method for determining whether an agent is capable of inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell which comprises: (a) contacting a sample containing a suitable amount of the agent with a suitable amount of the CD4⁺ cell and a suitable amount of the HIV-1 envelope glycoprotein⁺ cell under conditions which would permit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell in the absence of the agent, the cell membranes of the CD4⁺ cell and the HIV-1 envelope glycoprotein⁺ cell being labeled with a first dye and a second dye, respectively, which first and second dyes permit resonance energy transfer therebetween only when juxtaposed within the same membrane; (b) determining the percent resonance energy transfer value of the resulting sample after a suitable period of time; and (c) comparing the percent resonance energy transfer value so determined with a known standard, so as to determine whether the agent is capable of inhibiting fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell.

This invention also provides an agent determined by the above-described method.

The subject invention further provides a method for quantitatively determining the ability of an antibody-containing sample to specifically inhibit the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell

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which comprises: (a) contacting a predetermined amount of the antibody-containing sample with a suitable amount of the CD4⁺ cell and a suitable amount of the HIV-1 envelope glycoprotein⁺ cell under conditions which would permit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell in the absence of the antibody-containing sample, the cell membranes of the CD4⁺ cell and the HIV-1 envelope glycoprotein⁺ cell being labeled with a first dye and a second dye, respectively, which first and second dyes permit resonance energy transfer therebetween only when juxtaposed within the same membrane; (b) determining the percent resonance energy transfer value of the resulting sample after a suitable period of time; (c) comparing the percent resonance energy transfer value so determined with a known standard, so as to quantitatively determine the ability of the antibody-containing sample to inhibit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell; and (d) determining whether the antibody-containing sample inhibits the fusion of a first control cell with a second control cell under conditions which would permit non-HIV-1 envelope glycoprotein-mediated fusion of the first and second control cells in the absence of the agent, so as to quantitatively determine the ability of the antibody-containing sample to specifically inhibit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell.

The subject invention further provides a method for quantitatively determining the ability of an antibody-containing sample to inhibit the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell which comprises: (a) contacting a predetermined amount of the antibody-containing sample with a suitable amount of the CD4⁺ cell and a suitable amount of the HIV-1 envelope glycoprotein⁺

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cell under conditions which would permit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell in the absence of the antibody-containing sample, the cell membranes of the CD4⁺ cell and the HIV-1 envelope glycoprotein⁺ cell being labeled with a first dye and a second dye, respectively, which first and second dyes permit resonance energy transfer therebetween only when juxtaposed within the same membrane; (b) determining the percent resonance energy transfer value of the resulting sample after a suitable period of time; and (c) comparing the percent resonance energy transfer value so determined with a known standard, so as to quantitatively determine the ability of the antibody-containing sample to inhibit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell.

The subject invention further provides a method for determining the stage or clinical prognosis of an HIV-1 infection in an HIV-1-infected subject which comprises: (a) obtaining an antibody-containing sample from the HIV-1-infected subject; (b) quantitatively determining the ability of the antibody-containing sample so obtained to inhibit the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell by the method of the subject invention; and (c) comparing the ability of the antibody-containing sample to inhibit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell so determined with that of an antibody-containing sample obtained from an HIV-1-infected subject having an HIV-1 infection at a known stage or having a known clinical prognosis, so as to determine the stage or clinical prognosis of the HIV-1 infection in the HIV-1-infected subject.

The subject invention further provides a method for determining the efficacy of an anti-HIV-1 vaccination in

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a vaccinated, non-HIV-1-infected subject which comprises:
(a) obtaining an antibody-containing sample from the
vaccinated, non-HIV-1-infected subject; (b)
quantitatively determining the ability of the antibody-
5 containing sample so obtained to inhibit the fusion of a
CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell by the
method of the subject invention; and (c) comparing the
ability of the antibody-containing sample to inhibit the
fusion of the CD4⁺ cell with the HIV-1 envelope
10 glycoprotein⁺ cell so determined with that of an antibody-
containing sample obtained from a vaccinated, non-HIV-1-
infected subject for whom the anti-HIV-1 vaccination has
a known efficacy, so as to determine the efficacy of the
anti-HIV-1 vaccination in the vaccinated, non-HIV-1-
15 infected subject.

The subject invention further provides a kit for
determining whether an agent is capable of specifically
inhibiting the fusion of a CD4⁺ cell with an HIV-1
20 envelope glycoprotein⁺ cell which comprises, in separate
compartments: (a) a suitable amount of a CD4⁺ cell whose
cell membrane is labeled with a first dye; (b) a suitable
amount of an HIV-1 envelope glycoprotein⁺ cell whose cell
membrane is labeled with a second dye, the HIV-1 envelope
25 glycoprotein⁺ cell being capable of fusing with the CD4⁺
cell of (a) under suitable conditions in the absence of
the agent, and the first and second dyes permitting
resonance energy transfer therebetween only when
juxtaposed within the same membrane; (c) a suitable
30 amount of a first control cell whose cell membrane is
labeled with the first dye; and (d) a suitable amount of
a second control cell whose cell membrane is labeled with
the second dye, the second control cell being capable of
non-HIV-1 envelope glycoprotein-mediated fusion with the
35 first control cell of (c) under suitable conditions in

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the absence of the agent.

The subject invention further provides a kit for determining whether an agent is capable of inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell which comprises, in separate compartments: (a) a suitable amount of a CD4⁺ cell whose cell membrane is labeled with a first dye; and (b) a suitable amount of an HIV-1 envelope glycoprotein⁺ cell whose cell membrane is labeled with a second dye, the HIV-1 envelope glycoprotein⁺ cell being capable of fusing with the CD4⁺ cell of (a) under suitable conditions in the absence of the agent, and the first and second dyes permitting resonance energy transfer therebetween only when juxtaposed within the same membrane.

The subject invention further provides a method for determining whether an HIV-1 isolate is syncytium-inducing which comprises: (a) obtaining a sample of an HIV-1 isolate envelope glycoprotein⁺ cell whose cell membrane is labeled with a first dye; (b) contacting a suitable amount of the sample with a suitable amount of a CD4⁺ cell under conditions which would permit the fusion of the CD4⁺ cell with a syncytium-inducing HIV-1 strain envelope glycoprotein⁺ cell, the cell membrane of the CD4⁺ cell being labeled with a second dye which permits resonance energy transfer between the first dye only when the first and second dyes are juxtaposed within the same membrane; (c) determining the percent resonance energy transfer value of the resulting sample after a suitable period of time; and (d) comparing the percent resonance energy transfer value so determined with a known standard, so as to determine whether the HIV-1 isolate is syncytium-inducing.

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Finally, the subject invention provides a method for determining the stage of an HIV-1 infection in an HIV-1-infected subject which comprises determining by the method of the subject invention whether the HIV-1 isolate
5 with which the HIV-1 infected subject is infected is syncytium inducing, so as to thereby determine the stage of the HIV-1 infection in the HIV-1-infected subject.

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Brief Description of the FiguresFigure 1

5 Time course of fusion between HeLa-env⁺ cells and HeLa-CD4⁺ cells measured by the RET assay.

Figure 2

10 Blocking of fusion between HeLa-env⁺ cells and HeLa-CD4⁺ cells by OKT4a, measured using RET.

Figure 3

Blocking of fusion between 160G7 cells and C8166 cells by sCD4, measured using RET.

15 Figure 4

A comparative analysis of results of blocking experiments by two methods using OKT4a to inhibit the fusion of HeLa-env⁺ and HeLa-CD4⁺ cells.

20

Detailed Description of the Invention

The plasmid designated pMA243 was deposited pursuant to, and in satisfaction of, the requirements of the Budapest
5 Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure with the American Type Culture Collection (ATCC), 12301 Parklawn Drive, Rockville, Maryland 20852 under ATCC Accession No. 75626. The plasmid pMA243 was deposited
10 with the ATCC on December 16, 1993.

The subject invention provides a method for determining whether an agent is capable of specifically inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell which comprises: (a) contacting a
15 sample containing a suitable amount of the agent with a suitable amount of the CD4⁺ cell and a suitable amount of the HIV-1 envelope glycoprotein⁺ cell under conditions which would permit the fusion of the CD4⁺ cell with the
20 HIV-1 envelope glycoprotein⁺ cell in the absence of the agent, the cell membranes of the CD4⁺ cell and the HIV-1 envelope glycoprotein⁺ cell being labeled with a first dye and a second dye, respectively, which first and second dyes permit resonance energy transfer therebetween only
25 when juxtaposed within the same membrane; (b) determining the percent resonance energy transfer value of the resulting sample after a suitable period of time; (c) comparing the percent resonance energy transfer value so determined with a known standard, so as to determine
30 whether the agent is capable of inhibiting fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell; and (d) determining whether the agent inhibits the fusion of a first control cell with a second control cell under conditions which would permit non-HIV-1 envelope
35 glycoprotein-mediated fusion of the first and second

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control cells in the absence of the agent, so as to determine whether the agent is capable of specifically inhibiting the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell.

5

This invention provides an agent determined to be capable of specifically inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell using the above-described method.

10

As used herein, the term "agent" includes both protein and non-protein moieties. In one embodiment, the agent is a small molecule. In another embodiment, the agent is a protein. The protein may be, by way of example, an antibody directed against a portion of an HIV-1 envelope glycoprotein, e.g., gp120. The agent may be derived from a library of low molecular weight compounds or a library of extracts from plants or other organisms.

20

As used herein, "capable of specifically inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell" means (a) capable of reducing the rate of fusion of CD4⁺ cell membrane with HIV-1 envelope glycoprotein⁺ cell membrane by at least 5%, but not capable of reducing the rate of non-CD4/HIV-1 envelope glycoprotein-mediated cell membrane fusion, or (b) capable of reducing by at least 5% the total amount of fusion of CD4⁺ cell membrane with HIV-1 envelope glycoprotein⁺ cell membrane occurring by the endpoint of fusion, but not capable of reducing the total amount of non-CD4/HIV-1 envelope glycoprotein-mediated cell membrane fusion occurring by the endpoint of fusion. As used herein, the rate of cell membrane fusion means the total quantity of cell membrane fused per unit of time. As used herein, the "endpoint of fusion" means the point in time at which all fusion of

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CD4⁺ cell membrane with HIV-1 envelope glycoprotein⁺ cell membrane capable of occurring has occurred.

5 An example of the method of the subject invention is provided infra. A known amount of CD4⁺ cell is contacted with a known amount of HIV-1 envelope glycoprotein⁺ cell together with an agent under conditions which would permit the fusion of Y amount of cell membrane per unit of time in the absence of the agent, wherein Y is equal
10 to the sum of the amounts of CD4⁺ cell membrane and HIV-1 envelope glycoprotein⁺ cell membrane, e.g., $0.5 \times Y$ CD4⁺ cell membrane + $0.5 \times Y$ HIV-1 envelope glycoprotein⁺ cell membrane. In the presence of the agent, $0.2 \times Y$ amount of cell membrane fuses per unit of time. The agent is
15 shown not to reduce the rate of non-CD4/HIV-1 envelope glycoprotein-mediated cell membrane fusion. Accordingly, the agent specifically inhibits the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell.

20 As used herein, the fusion of CD4⁺ cell membrane with HIV-1 envelope glycoprotein⁺ cell membrane means the hydrophobic joining and integration of CD4⁺ cell membrane with HIV-1 envelope glycoprotein⁺ cell membrane to form a hybrid membrane comprising components of both cell
25 membranes, and does not mean the CD4/HIV-1 envelope glycoprotein-mediated adhesion therebetween, which adhesion is a prerequisite for the fusion.

As used herein, the term "CD4" includes (a) native CD4
30 protein and (b) a membrane-bound CD4-based protein. As used herein, a membrane-bound CD4-based protein is any membrane-bound protein, other than native CD4, which comprises at least that portion of native CD4 which is required for native CD4 to form a complex with the HIV-1
35 gp120 envelope glycoprotein. In one embodiment, the CD4-

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based protein comprises a portion of a non-CD4 protein. If the CD4-based protein comprises a portion of a non-CD4 protein, then the portion of native CD4 which is required for native CD4 to form a complex with the HIV-1 gp120
5 envelope glycoprotein is the portion of native CD4 having the amino acid sequence from +1 to about +179.

As used herein, the word "cell" includes a biological cell, e.g., a HeLa cell, and a non-biological cell, e.g.,
10 a lipid vesicle (e.g., a phospholipid vesicle) or virion.

As used herein, a CD4⁺ cell is a cell having CD4 affixed to the surface of its cell membrane, wherein the CD4⁺ cell is capable of specifically binding to and fusing with an
15 HIV-1 envelope glycoprotein⁺ cell exposed thereto. In the preferred embodiment, the suitable CD4⁺ cell is a CD4⁺ HeLa cell.

As used herein, an HIV-1 envelope glycoprotein⁺ cell is
20 a cell having HIV-1 envelope glycoprotein affixed to the surface of its cell membrane so as to permit the HIV-1 envelope glycoprotein⁺ cell to specifically bind to and fuse with a CD4⁺ cell exposed thereto. In one embodiment, the HIV-1 envelope glycoprotein⁺ cell is an HIV-1 envelope
25 glycoprotein⁺ HeLa cell. In another embodiment, the HIV-1 envelope glycoprotein⁺ cell is HIV-1.

Each HIV-1 isolate is tropic for a limited number of CD4⁺ cell types. Accordingly, in the subject invention, the
30 fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell means the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell, which HIV-1 envelope glycoprotein corresponds to an envelope glycoprotein from an HIV-1 isolate tropic for the CD4⁺ cell. For example,
35 the HIV-1 isolates JR-FL, JR-CSF and BaL are tropic for

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CD4⁺ primary human macrophages, the HIV-1 isolates LAI and IIIB are tropic for human CD4⁺ T lymphocyte cell lines and HeLa-CD4 cells, and the HIV-1 isolates MN and SF-2 are tropic for human CD4⁺ T lymphocyte cell lines. The
5 HIV-1 isolates JR-FL, JR-CSF, BaL, LAI, IIIB, MN and SF-2 may also be tropic for CD4⁺ cell types other than those enumerated supra.

The suitable amounts of agent, CD4⁺ cell and HIV-1
10 envelope glycoprotein⁺ cell may be determined according to methods well known to those skilled in the art.

Conditions which would permit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell in the absence
15 of the agent are well known to those skilled in the art.

As used herein, a cell "labeled" with a dye means a cell having a dye integrated into its cell membrane, i.e., a cell having dye molecules commingled with the lipid
20 molecules of its cell membrane.

Resonance energy transfer is defined as follows: For juxtaposed dyes D1, having excitation and emission spectra Ex1 and Em1, respectively, and D2, having
25 excitation and emission spectra Ex2 and Em2, respectively, wherein (a) Em1 has a higher average frequency than that of Em2 and (b) Em1 and Ex2 overlap, resonance energy transfer is the transfer of electromagnetic energy from D1 to D2 at a frequency
30 within the Em1 and Ex2 overlap, which resonance energy transfer (a) results from the electromagnetic excitation of D1 at a frequency within the Ex1 spectrum and (b) causes the subsequent emission of electromagnetic energy from D2 at a frequency within the Em2 spectrum.
35 Accordingly, resonance energy transfer between D1 and D2

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can be detected by exciting D1 with electromagnetic energy at a frequency within Ex1 and measuring the subsequently emitted electromagnetic energy at a frequency within Em2, the emission of electromagnetic energy at a frequency within Em2 indicating the occurrence of resonance energy transfer between D1 and D2.

The first and second dyes are "juxtaposed within the same membrane" if they are present within the same lipid membrane at a suitably short distance from each other, which suitably short distance may be readily determined by one skilled in the art.

In the subject invention, determining the percent resonance energy transfer value may be performed according to methods well known to those skilled in the art. In one embodiment, the percent resonance energy transfer value is determined by: (1) determining the resonance energy transfer value (RET) by subtracting from the total emission from D1 and D2 at a frequency within Em2 the electromagnetic energy emission due to direct D1 and D2 emission following excitation at a frequency within Ex1 and emission at the frequency within Em2, which D1 and D2 emissions are measured by separately measuring the electromagnetic energy emission due to cells labeled with each dye; and (2) determining the percent resonance energy transfer value (% RET value) by dividing the resonance energy transfer value obtained in step (1) by the total D2 emission at the frequency within Em2.

The suitable period of time after which the percent resonance energy transfer value of the resulting sample is determined may be determined according to methods well

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known to those skilled in the art.

The known standard is a percent resonance energy transfer value obtained using the CD4⁺ cell, the HIV-1 envelope glycoprotein⁺ cell, and an agent having a known ability to inhibit the fusion thereof.

In the subject invention, the first control cell and second control cell are capable of fusing with each other via non-HIV-1 envelope glycoprotein-mediated fusion both in the presence and absence of an agent capable of inhibiting HIV-1 envelope glycoprotein-mediated fusion, and are not capable of fusing via HIV-1 envelope glycoprotein-mediated fusion. Such cells are well known to those skilled in the art, and include, by way of example, HeLa cells which can be induced to fuse with each other by incubation at 37°C with polyethylene glycol 1000 or with Sendai virus. These methods of inducing fusion of HeLa cells are well known to those skilled in the art.

In one embodiment, the agent is an antibody. As used in the subject invention, the term "antibody" includes, but is not limited to, both naturally occurring and non-naturally occurring antibodies. Specifically, the term "antibody" includes polyclonal and monoclonal antibodies, and antigen-binding fragments thereof. Furthermore, the term "antibody" includes chimeric antibodies, wholly synthetic antibodies, and antigen-binding fragments thereof.

In one embodiment, the first dye is a rhodamine moiety-containing molecule and the second dye is a fluorescein moiety-containing molecule. Rhodamine moiety-containing molecules and fluorescein moiety-containing molecules are

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well known to those skilled in the art.

In the preferred embodiment, the rhodamine moiety-containing molecule is octadecyl rhodamine B
5 chloride and the fluorescein moiety-containing molecule is fluorescein octadecyl ester.

In another embodiment, the first dye is a fluorescein moiety-containing molecule and the second dye is a
10 rhodamine moiety-containing molecule.

In one embodiment, the CD4⁺ cell is a CD4⁺ HeLa cell. In another embodiment, the HIV-1 envelope glycoprotein⁺ cell is an HIV-1_{LAI} gp120/gp41⁺ HeLa cell. HIV-1_{LAI} is a
15 laboratory-adapted strain that is tropic for phytohemagglutinin (PHA)-stimulated peripheral blood lymphocytes (PBLs) and immortalized human T-cell lines.

The subject invention also provides a method for
20 determining whether an agent is capable of specifically inhibiting the infection of a CD4⁺ cell with HIV-1 which comprises determining whether the agent is capable of specifically inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell by the method of the
25 subject invention, so as to thereby determine whether the agent is capable of specifically inhibiting the infection of a CD4⁺ cell with HIV-1.

The subject invention further provides a method for
30 determining whether an agent is capable of inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell which comprises: (a) contacting a sample containing a suitable amount of the agent with a suitable amount of the CD4⁺ cell and a suitable amount of the HIV-1 envelope
35 glycoprotein⁺ cell under conditions which would permit the

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fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell in the absence of the agent, the cell membranes of the CD4⁺ cell and the HIV-1 envelope glycoprotein⁺ cell being labeled with a first dye and a second dye, respectively, which first and second dyes permit resonance energy transfer therebetween only when juxtaposed within the same membrane; (b) determining the percent resonance energy transfer value of the resulting sample after a suitable period of time; and (c) comparing the percent resonance energy transfer value so determined with a known standard, so as to determine whether the agent is capable of inhibiting fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell.

As used herein, "capable of inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell" means capable of (a) reducing the rate of fusion of CD4⁺ cell membrane with HIV-1 envelope glycoprotein⁺ cell membrane by at least 5%, or (b) reducing by at least 5% the total amount of fusion of CD4⁺ cell membrane with HIV-1 envelope glycoprotein⁺ cell membrane occurring by the endpoint of fusion. An agent capable of inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell may also be capable of reducing the rate to non-CD4/HIV-1 envelope glycoprotein-mediated cell membrane fusion.

This invention provides an agent determined to be capable of inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell using the above-described method.

In one embodiment, the first dye is a rhodamine moiety-containing molecule and the second dye is a fluorescein moiety-containing molecule.

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In the preferred embodiment, the rhodamine moiety-containing molecule is octadecyl rhodamine B chloride and the fluorescein moiety-containing molecule is fluorescein octadecyl ester.

5

In another embodiment, the first dye is a fluorescein moiety-containing molecule and the second dye is a rhodamine moiety-containing molecule.

10 In one embodiment, the CD4⁺ cell is a CD4⁺ HeLa cell. In another embodiment of the subject invention, the HIV-1 envelope glycoprotein⁺ cell is an HIV-1_{LAI} gp120/gp41⁺ HeLa cell.

15 The subject invention further provides a method for quantitatively determining the ability of an antibody-containing sample to specifically inhibit the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell which comprises: (a) contacting a predetermined amount
20 of the antibody-containing sample with a suitable amount of the CD4⁺ cell and a suitable amount of the HIV-1 envelope glycoprotein⁺ cell under conditions which would permit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell in the absence of the
25 antibody-containing sample, the cell membranes of the CD4⁺ cell and the HIV-1 envelope glycoprotein⁺ cell being labeled with a first dye and a second dye, respectively, which first and second dyes permit resonance energy transfer therebetween only when juxtaposed within the
30 same membrane; (b) determining the percent resonance energy transfer value of the resulting sample after a suitable period of time; (c) comparing the percent resonance energy transfer value so determined with a known standard, so as to quantitatively determine the
35 ability of the antibody-containing sample to inhibit the

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fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell; and (d) determining whether the antibody-containing sample inhibits the fusion of a first control cell with a second control cell under conditions which would permit non-HIV-1 envelope glycoprotein-mediated fusion of the first and second control cells in the absence of the agent, so as to quantitatively determine the ability of the antibody-containing sample to specifically inhibit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell.

The antibody-containing sample may be any antibody-containing sample. In one embodiment, the antibody-containing sample is a serum sample. In another embodiment, the antibody-containing sample is an IgG preparation. Methods of obtaining an antibody-containing sample are well known to those skilled in the art.

In one embodiment, the first dye is a rhodamine moiety-containing molecule and the second dye is a fluorescein moiety-containing molecule.

In the preferred embodiment, the rhodamine moiety-containing molecule is octadecyl rhodamine B chloride and the fluorescein moiety-containing molecule is fluorescein octadecyl ester.

In another embodiment, the first dye is a fluorescein moiety-containing molecule and the second dye is a rhodamine moiety-containing molecule.

In one embodiment, the CD4⁺ cell is a CD4⁺ HeLa cell. In another embodiment of the subject invention, the HIV-1 envelope glycoprotein⁺ cell is an HIV-1_{LAI} gp120/gp41⁺ HeLa cell.

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The subject invention further provides a method for quantitatively determining the ability of an antibody-containing sample to inhibit the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell which comprises:

5 (a) contacting a predetermined amount of the antibody-containing sample with a suitable amount of the CD4⁺ cell and a suitable amount of the HIV-1 envelope glycoprotein⁺ cell under conditions which would permit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell

10 in the absence of the antibody-containing sample, the cell membranes of the CD4⁺ cell and the HIV-1 envelope glycoprotein⁺ cell being labeled with a first dye and a second dye, respectively, which first and second dyes permit resonance energy transfer therebetween only when

15 juxtaposed within the same membrane; (b) determining the percent resonance energy transfer value of the resulting sample after a suitable period of time; and (c) comparing the percent resonance energy transfer value so determined with a known standard, so as to quantitatively determine

20 the ability of the antibody-containing sample to inhibit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell.

In one embodiment, the first dye is a rhodamine moiety-containing molecule and the second dye is a fluorescein moiety-containing molecule.

25

In the preferred embodiment, the rhodamine moiety-containing molecule is octadecyl rhodamine B chloride and

30 the fluorescein moiety-containing molecule is fluorescein octadecyl ester.

In another embodiment, the first dye is a fluorescein moiety-containing molecule and the second dye is a

35 rhodamine moiety-containing molecule.

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In one embodiment, the CD4⁺ cell is a CD4⁺ HeLa cell. In another embodiment of the subject invention, the HIV-1 envelope glycoprotein⁺ cell is an HIV-1_{LAI} gp120/gp41⁺ HeLa cell.

5

The subject invention further provides a method for determining the stage of clinical prognosis of an HIV-1 infection in an HIV-1-infected subject which comprises:
(a) obtaining an antibody-containing sample from the HIV-1-infected subject; (b) quantitatively determining the ability of the antibody-containing sample so obtained to inhibit the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell by the method of the subject invention; and (c) comparing the ability of the antibody-containing sample to inhibit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell so determined with that of an antibody-containing sample obtained from an HIV-1 infected subject having an HIV-1 infection at a known stage or having a known clinical prognosis, so as to determine the stage or clinical prognosis of the HIV-1 infection in the HIV-1-infected subject.

As used herein, an "HIV-infected subject" means a subject having at least one of his own cells invaded by HIV-1.
In the preferred embodiment, the subject is a human.

The subject invention further provides a method for determining the efficacy of an anti-HIV-1 vaccination in a vaccinated, non-HIV-1-infected subject which comprises:
(a) obtaining an antibody-containing sample from the vaccinated, non-HIV-1-infected subject; (b) quantitatively determining the ability of the antibody-containing sample so obtained to inhibit the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell by the method of the subject invention; and (c) comparing the

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ability of the antibody-containing sample to inhibit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell so determined with that of an antibody-containing sample obtained from a vaccinated, non-HIV-1-infected subject for whom the anti-HIV-1 vaccination has a known efficacy, so as to determine the efficacy of the anti-HIV-1 vaccination in the vaccinated, non-HIV-1-infected subject.

10 As used herein, "anti-HIV-1 vaccination" means the administration to a subject of a vaccine intended to elicit the production of antibodies by the vaccinated subject which are capable of specifically binding to epitopes present on an HIV-1 surface envelope glycoprotein. Vaccines in general are well known to those skilled in the art, and comprise an antigen, e.g., a protein, and an adjuvant.

20 As used herein, the "efficacy of an anti-HIV-1 vaccination" means the degree to which the vaccination or successive vaccinations (i.e., immunization) causes the titre of HIV-1-neutralizing antibodies in the vaccinated subject to increase. In other words, the higher the efficacy of an anti-HIV-1 vaccination, the higher the titre of HIV-1-neutralizing antibodies in the vaccinated subject.

30 As used herein, a "non-HIV-1-infected subject" means a subject not having any of his own cells invaded by HIV-1. In the preferred embodiment, the subject is a human.

The subject invention further provides a kit for determining whether an agent is capable of specifically inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell which comprises, in separate

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compartments: (a) a suitable amount of a CD4⁺ cell whose cell membrane is labeled with a first dye; (b) a suitable amount of an HIV-1 envelope glycoprotein⁺ cell whose cell membrane is labeled with a second dye, the HIV-1 envelope glycoprotein⁺ cell being capable of fusing with the CD4⁺ cell of (a) under suitable conditions in the absence of the agent, and the first and second dyes permitting resonance energy transfer therebetween only when juxtaposed within the same membrane; (c) a suitable amount of a first control cell whose cell membrane is labeled with the first dye; and (d) a suitable amount of a second control cell whose cell membrane is labeled with the second dye, the second control cell being capable of non-HIV-1 envelope glycoprotein-mediated fusion with the first control cell of (c) under suitable conditions in the absence of the agent.

The kit of the subject invention may further comprise additional buffers. Furthermore, the cells may either be dried or suspended in liquid or gel.

The suitable amounts of cells are amounts which would permit one skilled in the art to determine, without undue experimentation, whether an agent is capable of specifically inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell. Such amounts may be readily determined according to methods well known to those skilled in the art.

In one embodiment, the first dye is a rhodamine moiety-containing molecule and the second dye is a fluorescein moiety-containing molecule.

In the preferred embodiment, the rhodamine moiety-containing molecule is octadecyl rhodamine B chloride and

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the fluorescein moiety-containing molecule is fluorescein octadecyl ester.

5 In another embodiment, the first dye is a fluorescein moiety-containing molecule and the second dye is a rhodamine moiety-containing molecule.

10 In one embodiment, the CD4⁺ cell is a CD4⁺ HeLa cell. In another embodiment of the subject invention, the HIV-1 envelope glycoprotein⁺ cell is an HIV-1_{LAI} gp120/gp41⁺ HeLa cell.

15 The subject invention further provides a kit for determining whether an agent is capable of inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell which comprises, in separate compartments: (a) a suitable amount of a CD4⁺ cell whose cell membrane is labeled with a first dye; and (b) a suitable amount of an HIV-1 envelope glycoprotein⁺ cell whose cell membrane is
20 labeled with a second dye, the HIV-1 envelope glycoprotein⁺ cell being capable of fusing with the CD4⁺ cell of (a) under suitable conditions in the absence of the agent, and the first and second dyes permitting resonance energy transfer therebetween only when
25 juxtaposed within the same membrane.

The kit of the subject invention may further comprise additional buffers. Furthermore, the cells may either be dried or suspended in a liquid or gel carrier.

30

The suitable amounts of cells are amounts which would permit one skilled in the art to determine, without undue experimentation, whether an agent is capable of inhibiting the fusion of a CD4⁺ cell with an HIV-1
35 envelope glycoprotein⁺ cell. Such amounts may be readily

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determined according to methods well known to those skilled in the art.

5 In one embodiment, the first dye is a rhodamine moiety-containing molecule and the second dye is a fluorescein moiety-containing molecule.

10 In the preferred embodiment, the rhodamine moiety-containing molecule is octadecyl rhodamine B chloride and the fluorescein moiety-containing molecule is fluorescein octadecyl ester.

15 In another embodiment, the first dye is a fluorescein moiety-containing molecule and the second dye is a rhodamine moiety-containing molecule.

20 In one embodiment, the CD4⁺ cell is a CD4⁺ HeLa cell. In another embodiment of the subject invention, the HIV-1 envelope glycoprotein⁺ cell is an HIV-1_{LAI} gp120/gp41⁺ HeLa cell.

25 The subject invention further provides a method for determining whether an HIV-1 isolate is syncytium-inducing which comprises: (a) obtaining a sample of an HIV-1 isolate envelope glycoprotein⁺ cell whose cell membrane is labeled with a first dye; (b) contacting a suitable amount of the sample with a suitable amount of a CD4⁺ cell under conditions which would permit the fusion of the CD4⁺ cell with a syncytium-inducing HIV-1 strain
30 envelope glycoprotein⁺ cell, the cell membrane of the CD4⁺ cell being labeled with a second dye which permits resonance energy transfer between the first dye only when the first and second dyes are juxtaposed within the same membrane; (c) determining the percent resonance energy
35 transfer value of the resulting sample after a suitable

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period of time; and (d) comparing the percent resonance energy transfer value so determined with a known standard, so as to determine whether the HIV-1 isolate is syncytium-inducing.

5

As used herein, "syncytium-inducing" means capable of causing the formation of syncytia (multi-nucleated cells resulting from HIV-1 envelope glycoprotein-mediated cell fusion) when contacted with a plurality of CD4⁺ cells under suitable conditions.

10

Obtaining a sample of an HIV-1 isolate envelope glycoprotein⁺ cells may be performed according to methods well known to those skilled in the art.

15

HIV-1 isolate envelope glycoprotein⁺ cells may be obtained from blood or any other bodily fluid known to contain HIV-1 isolate envelope glycoprotein⁺ cells in HIV-infected subjects. Alternatively, HIV-1 isolate envelope glycoprotein⁺ cells may be obtained by culturing cells in vitro with blood or other bodily fluids containing the HIV-1 isolate or HIV-1 isolate-infected cells, and recovering the HIV-1 isolate envelope glycoprotein⁺ cells produced thereby.

20

25

The suitable amounts of sample and CD4⁺ cell may be determined according to methods well known to those skilled in the art.

30

In one embodiment, the first dye is a rhodamine moiety-containing molecule and the second dye is a fluorescein moiety-containing molecule.

35

In the preferred embodiment, the rhodamine moiety-containing molecule is octadecyl rhodamine B chloride and

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the fluorescein moiety-containing molecule is fluorescein octadecyl ester.

In another embodiment, the first dye is a fluorescein moiety-containing molecule and the second dye is a rhodamine moiety-containing molecule.

In one embodiment, the CD4⁺ cell is a CD4⁺ HeLa cell.

10 The subject invention further provides a method for determining the stage of an HIV-1 infection in an HIV-1-infected subject which comprises determining by the method of the subject invention whether the HIV-1 isolate with which the HIV-1-infected subject is infected is
15 syncytium-inducing, so as to thereby determine the stage of the HIV-1 infection in the HIV-1-infected subject.

Finally, the subject invention provides a method for quantitatively measuring the fusion of a CD4⁺ cell with
20 an HIV-1 envelope glycoprotein⁺ cell which comprises: (a) contacting a sample of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell under conditions permitting fusion therebetween, the cell membranes of the CD4⁺ cell and the HIV-1 envelope glycoprotein⁺ cell being labeled
25 with a first dye and a second dye, respectively, which first and second dyes permit resonance energy transfer therebetween only when juxtaposed within the same membrane; (b) determining the percent resonance energy transfer value of the resulting sample after a suitable
30 period of time; and (c) comparing the percent resonance energy transfer value so determined with a known standard, so as to quantitatively measure the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell.

35 This invention will be better understood by reference to

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the Experimental Details which follow, but those skilled in the art will readily appreciate that the specific experiments detailed are only illustrative of the invention as described more fully in the claims which
5 follow thereafter.

Experimental Details

A - Background

5 The RET-based fusion assay of the subject invention measures fusion between cells which express the HIV-1 envelope glycoprotein (gp120/gp41) and cells which express CD4. Such cell-cell fusion may lead to the production of multinucleated cells or syncytia.
10 Molecules which block HIV-1 attachment or fusion to host cells also block syncytia formation. Syncytia assays have been used in many laboratories to detect virus or anti-virus molecules, and typically have a visual readout. In the development of the assay, permanent cell
15 lines which stably express gp120/gp41 or CD4 were used.

The resonance energy transfer technique has been used in a variety of studies of membrane fusion including the fusion of nucleated cells induced by viruses or
20 polyethylene glycol. However, it has not previously been used to study HIV-1 envelope glycoprotein-mediated membrane fusion. The technique involves labeling one fusion partner (e.g. a gp120/gp41-expressing cell line) with a fluorescent dye such as octadecyl fluorescein
25 (F18) and the other fusion partner (e.g. a CD4-expressing cell line) with a dye such as octadecyl rhodamine (R18). The dyes are chosen such that the emission spectrum of one (F18) overlaps the excitation spectrum of the second (R18). When the cells fuse, the F18 and R18 associate
30 together closely enough that stimulation of F18 results in resonance energy transfer to R18 and emission at the R18 emission wavelengths. The octadecyl versions of the fluors spontaneously insert into the plasma membranes of cells using the labeling protocol described below.

B - Cells Tested

(1) A Chinese Hamster Ovary (CHO) cell line which expresses HIV-1_{IIIIB} gp120/gp41 (160G7) was mixed with a human T lymphocyte cell line which expresses CD4 (C8166). CD4⁺ cells are commercially available. 160G7 cells may be obtained at the MRC AIDS Directed Program (United Kingdom). C8166 cells may be obtained at the MRC AIDS Directed Program (United Kingdom) and the NIH AIDS Research and Reference Reagent Program (Bethesda, Maryland). It was previously demonstrated that 160G7 cells and C8166 cells fuse to form multinucleated syncytia. This assay is a syncytium assay which requires visual counting of syncytia with the aid of a low power microscope. This assay is suitable for analyzing blocking agents such as CD4-based molecules and neutralizing antibodies directed against gp120 and gp41.

(2) Human epithelial carcinoma (HeLa) cells which express HIV-1_{LAI} gp120/gp41 (HeLa-env) and HeLa cells which express CD4 (HeLa-CD4⁺) were also used. HeLa-CD4⁺ cells may be obtained at the MRC AIDS Directed Program (United Kingdom) and the NIH AIDS Research and Reference Reagent Program (Bethesda, Maryland). HeLa-env⁺ cells express much higher levels of gp120/gp41 than do 160G7 cells, as demonstrated by the ability to easily detect gp120 on the surface of HeLa-env⁺ cells but not 160G7 cells by flow cytometry using an anti-gp120 antibody. Visual analysis demonstrates that HeLa-env⁺ cells fuse readily with C8166 and HeLa-CD4⁺ cells to form syncytia.

HeLa-env⁺ cells may be obtained, for example, by transfecting HeLa cells with an env-encoding plasmid, such as pMA243, using the calcium phosphate precipitation method and subsequent selection of transfectants with 2 μ M

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methotrexate. The plasmid pMA243 is designed to express the HIV-1_{LAI} genes env, tat, rev and vpu, in addition to the selectable marker DHFR*, with all genes under the control of the HIV-1 LTR (Dragic, T., et al., J. Virol. 66:4794-4802 (1992)). DHFR* is a mutant dihydrofolate reductase gene that demonstrates a reduced affinity for methotrexate. In pMA243, the DHFR* gene is expressed from the mRNA spliced transcript that normally encodes the HIV-1 nef gene which is deleted in this vector. The HIV-1-encoded tat and rev genes are required for high level expression of the env gene. The plasmid pMA243 also encodes an ampicillin resistance marker and bacterial origin of replication.

15 C - Cuvette Assay Method

The cell labeling conditions were modified from those used in a previous study where RET was used to monitor polyethylene glycol-induced cell fusion (Wanda, P.E., and Smith, J.D., J. Histochem. Cytochem. 30:1297 (1982)). F18 (fluorescein octadecyl ester; Molecular Probes Eugene, Oregon. Catalog No. F3857) or R18 (octadecyl rhodamine B, chloride salt; Molecular Probes, Catalog No. 0246) were dissolved in ethanol at 5-10mg/ml and diluted approximately 1000-fold into the appropriate growth medium. The exact concentration in the medium was adjusted to bring the OD to 0.34 at 506nm (F18) or 1.04 at 565nm (R18). Monolayers of cells were incubated with the appropriate medium overnight, then washed and counted. 100,000 cells of each type were mixed together in wells of a 24-well tissue culture plate. At intervals after mixing, the cells were removed with EDTA, washed and placed in a fluorometer cuvette. Fluorescence was measured at three sets of excitation and emission wavelengths (see table below) using a Perkin-Elmer LS50

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fluorometer.

Excitation wavelength	Emission wavelength	measurement obtained
450nm	530nm	Total F18 fluorescence
557nm	590nm	Total R18 fluorescence
450nm	590nm	RET*

* The calculation of RET requires first subtracting the fluorescence due to direct F18 and R18 fluorescence following excitation at 450 and emission at 590. The fluorescence measurements are determined by measuring the fluorescence of cells labeled with each dye separately.

The RET value, calculated as described above, is divided by the total R18 fluorescence to give a % RET value. The results of initial experiments indicate that RET can be measured using both cell combinations listed above. A greater signal was produced when the envelope glycoprotein-expressing cells were F18-labeled and the CD4-expressing cells were R18 labeled than when the envelope glycoprotein-expressing cells were R18-labeled and the CD4-expressing cells were F18 labeled.

D - Results of time course RET studies and experiments with control cell lines, using the cuvette assay method

Time course experiments were performed with the HeLa-env⁺ + HeLa-CD4⁺ combination (Figure 1). A control cell line, HeLa-Δenv⁺, was used. HeLa-Δenv⁺ cells express HIV-1 envelope glycoprotein, with a 400 base pair deletion in

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the gp120-encoding region of the env gene. These cells do not fuse with CD4⁺ human cells.

5 The results demonstrate that fusion can be measured by the RET assay at 2 hours, but not at 1 hour, consistent with previous studies of HIV-1 envelope-mediated cell fusion using fluorescence microscopy. At 4 hours, massive cell fusion was evident by visual inspection of the culture, and this time point yielded reproducible RET
10 values in several experiments. In other experiments, the combination of 160G7 cells with C8166 cells gave a reproducible maximum RET value at about 4 hours but with lower values than those obtained using HeLa-env⁺ and HeLa-CD4⁺ (data not shown). Presumably, this difference
15 results from the much greater level of gp120/gp41 expression on HeLa-env⁺ cells as compared with 160G7 cells.

A number of control experiments were performed using
20 combinations of cells which, based on previous studies, are known not to fuse. These combinations included HeLa cells combined with HeLa-CD4⁺ cells, or HeLa-env⁺ cells combined with CHO-CD4 or the human glioma cell line U87.MG-CD4. CHO-CD4 cells, like other non-primate cells,
25 do not fuse with cells expressing HIV-1 gp120/gp41. U87.MG-CD4 cells are one of the few CD4⁺ human cell lines which do not fuse with HIV-1 envelope glycoprotein-expressing cells. RET values obtained with these combinations of cells (data not shown) were in general
30 similar to those using the control HeLa-Δenv⁺ + HeLa-CD4⁺ (Figure 1).

E - Results of RET experiments with blocking agents
using the cuvette assay method

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It was next determined whether sCD4 (which interacts with gp120/gp41⁺ cells) or the murine MAb OKT4a (which interacts with CD4⁺ cells) could block RET (Figures 2 and 3). Both these molecules are known to inhibit HIV-1 infection and syncytium formation. The percent blocking was calculated as % RET at each concentration of blocking agent divided by % RET in the absence of blocking agent at 4 hours.

As shown in Figures 2 and 3, both sCD4 and OKT4a block fusion as measured by RET. The concentrations of these agents required for 50% inhibition are similar to those determined using other assays. For example, the IC₅₀ for sCD4 inhibition of fusion between 160G7 and C8166 was approximately 4 µg/ml measured using the RET assay, as compared with 5.5 µg/ml measured by a visual syncytium assay (i.e., an assay for measuring the inhibition of syncytium formation, wherein the syncytia are quantitated visually using a low-power microscope) using the same combination of cells. In summary, these results demonstrate that the RET method can be used to measure HIV-1 envelope-mediated cell fusion in a rapid and reproducible fashion. When compared with data from the more conventional visual syncytium assay, the results are in excellent agreement.

F - Control blocking experiment with OKT4 using cuvette assay method

Control experiments were performed to examine inhibition of % RET by OKT4. OKT4 is a mouse monoclonal antibody that binds CD4 but does not inhibit the CD4-gp120 interaction, HIV-1 infection, or HIV-induced cell fusion. Using the cuvette method and the HeLa-env⁺ + HeLa-CD4⁺ combination, OKT4 gave 0% inhibition of RET at 0.2 µg/ml

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or 2.0 $\mu\text{g/ml}$, compared with 65% inhibition by OKT4a at 0.2 $\mu\text{g/ml}$ in the same experiment. These results demonstrate that inhibition of HIV-1 envelope-mediated membrane fusion as measured by RET is specific for agents that block HIV-1 infection and HIV-induced cell fusion.

G - Automation of the RET assay using the plate reader assay

A fluorescent plate reader was used to analyze the RET assay. This method has the advantage of reducing the manipulations required, notably the need to remove cells for measurement of fluorescence in a cuvette. The plate reader measures fluorescence of cells directly in a multi-well tissue culture plate. Moreover, the speed of assay readout is dramatically increased (by approximately 100-fold). The Millipore "Cytofluor" was used in this experiment. This is a dedicated plate reader which has been used in a variety of different cell-based fluorescence assays and is suitable for use with a range of plate formats including 24-well and 96-well tissue culture plates. The Cytofluor also has the major advantages of speed and compatibility with IBM software analysis programs.

The results indicate that the assay can be readily performed in 24 or 96 well tissue culture plates using the fluorescence plate reader.

In one embodiment, when performing the assay on a routine basis, two types of measurements are done. In the first, RET is measured at a single time point following mixing of labeled cells and a candidate blocking agent. In the second, the assay is adapted to measure changes in the rate of cell fusion in the presence or absence of

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blocking agents. One of the advantages of the RET assay is that it measures fusion in real time and thus is amenable to kinetic analysis.

- 5 For example, a method of using the plate reader assay and measuring RET at a single time point is provided below. In this assay a 96-well flat bottom tissue culture plate is used. The method is a modification of the cuvette method described above.

10

Example of a single time-point plate reader assay method:

1. Prepare dyes:

15 R18: 10 mg/ml in 100% EtOH (for HeLa-CD4⁺ cells)
F18: 5 mg/ml in 100% EtOH (for HeLa-env⁺ cells)

2. Add dyes to appropriate concentrations, in cell culture medium containing 10% fetal calf serum, as determined by absorbance measurements:

20 F18⁺ medium: 0.34 at 506 nm
R18⁺ medium: 0.52 at 565 nm

3. Add medium + dye to the appropriate cells as indicated above, then incubate overnight to stain cells.

25

4. Wash cells and count.

5. Plate out 20,000 cells of each line/well, some wells having one or other cell line separately, other wells with both cell lines, and other wells with various concentrations of antibodies or other inhibitory agents added in addition to both cell lines.

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6. 4 hours later, remove the media and wash all of the wells three times with PBS (the cells remain adherent in the wells). Add 200 μ l PBS to each well. Read fluorescence in the wells using the
- 5 Millipore Cytofluor plate reader with filter combinations listed below:
- F18: excitation 450 nm emission 530 nm
- (X)
- R18: excitation 530 nm emission 590 nm
- 10 (Y)
- F18 + R18: excitation 450 nm emission 590 nm
- (Z)

The emission values, X, Y and Z (as indicated above) are

15 recorded for each cell combination:

- A) HeLa-env⁺ + HeLa-CD4⁺
- B) HeLa-env⁺ alone
- C) HeLa-CD4⁺ alone

20 For example, the F18 reading for HeLa-env⁺ cells alone is given by B_x.

Then % RET is calculated using this formula:

$$25 \quad \% \text{ RET} = \frac{A_z - (A_x \cdot B_z/B_x) - (A_y \cdot C_z/C_y)}{A_y} \cdot 100$$

Similar results were obtained in experiments comparing

30 inhibition of % RET using the cuvette method and the plate reader method. For example, Figure 4 illustrates the inhibition of fusion between HeLa-env⁺ and HeLa-CD4⁺ cells by the monoclonal anti-CD4 antibody, OKT4a, measured as a reduction in % RET determined by both

35 methods at 4 hours after mixing the cells.

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What is claimed is:

1. A method for determining whether an agent is capable
of specifically inhibiting the fusion of a CD4⁺ cell
5 with an HIV-1 envelope glycoprotein⁺ cell which
comprises:
 - (a) contacting a sample containing a suitable
amount of the agent with a suitable amount of
the CD4⁺ cell and a suitable amount of the HIV-
10 1 envelope glycoprotein⁺ cell under conditions
which would permit the fusion of the CD4⁺ cell
with the HIV-1 envelope glycoprotein⁺ cell in
the absence of the agent, the cell membranes of
the CD4⁺ cell and the HIV-1 envelope
15 glycoprotein⁺ cell being labeled with a first
dye and a second dye, respectively, which first
and second dyes permit resonance energy
transfer therebetween only when juxtaposed
within the same membrane;
 - 20 (b) determining the percent resonance energy
transfer value of the resulting sample after a
suitable period of time;
 - (c) comparing the percent resonance energy transfer
value so determined with a known standard, so
25 as to determine whether the agent is capable of
inhibiting fusion of the CD4⁺ cell with the
HIV-1 envelope glycoprotein⁺ cell; and
 - (d) determining whether the agent inhibits the
fusion of a first control cell with a second
30 control cell under conditions which would
permit non-HIV-1 envelope glycoprotein-mediated
fusion of the first and second control cells in
the absence of the agent, so as to determine
whether the agent is capable of specifically
35 inhibiting the fusion of the CD4⁺ cell with

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the HIV-1 envelope glycoprotein' cell.

2. The method of claim 1, wherein the agent is an antibody.
- 5 3. A method for determining whether an agent is capable of specifically inhibiting the infection of a CD4' cell with HIV-1 which comprises determining whether the agent is capable of specifically inhibiting the fusion of a CD4' cell with an HIV-1 envelope glycoprotein' cell by the method of claim 1, so as to thereby determine whether the agent is capable of specifically inhibiting the infection of a CD4' cell with HIV-1.
- 10 4. A method for determining whether an agent is capable of inhibiting the fusion of a CD4' cell with an HIV-1 envelope glycoprotein' cell which comprises:
 - 15 (a) contacting a sample containing a suitable amount of the agent with a suitable amount of the CD4' cell and a suitable amount of the HIV-1 envelope glycoprotein' cell under conditions which would permit the fusion of the CD4' cell with the HIV-1 envelope glycoprotein' cell in the absence of the agent, the cell membranes of the CD4' cell and the HIV-1 envelope glycoprotein' cell being labeled with a first dye and a second dye, respectively, which first and second dyes permit resonance energy transfer therebetween only when juxtaposed within the same membrane;
 - 20 (b) determining the percent resonance energy transfer value of the resulting sample after a suitable period of time; and
 - 25 (c) comparing the percent resonance energy transfer
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value so determined with a known standard, so as to determine whether the agent is capable of inhibiting fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell.

5

5. A method for quantitatively determining the ability of an antibody-containing sample to specifically inhibit the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell which comprises:

- 10 (a) contacting a predetermined amount of the antibody-containing sample with a suitable amount of the CD4⁺ cell and a suitable amount of the HIV-1 envelope glycoprotein⁺ cell under conditions which would permit the fusion of the
- 15 CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell in the absence of the antibody-containing sample, the cell membranes of the CD4⁺ cell and the HIV-1 envelope glycoprotein⁺ cell being labeled with a first dye and a second dye, respectively, which first and second dyes permit resonance energy transfer therebetween only when juxtaposed within the same membrane;
- 20 (b) determining the percent resonance energy transfer value of the resulting sample after a suitable period of time;
- 25 (c) comparing the percent resonance energy transfer value so determined with a known standard, so as to quantitatively determine the ability of the antibody-containing sample to inhibit the
- 30 fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell; and
- (d) determining whether the antibody-containing sample inhibits the fusion of a first control cell with a second control cell under
- 35 conditions which would permit non-HIV-1

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5 envelope glycoprotein-mediated fusion of the first and second control cells in the absence of the agent, so as to quantitatively determine the ability of the antibody-containing sample to specifically inhibit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell.

10 6. A method for quantitatively determining the ability of an antibody-containing sample to inhibit the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell which comprises:

15 (a) contacting a predetermined amount of the antibody-containing sample with a suitable amount of the CD4⁺ cell and a suitable amount of the HIV-1 envelope glycoprotein⁺ cell under conditions which would permit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell in the absence of the antibody-containing sample, the cell membranes of the CD4⁺ cell and the HIV-1 envelope glycoprotein⁺ cell being labeled with a first dye and a second dye, respectively, which first and second dyes permit resonance energy transfer therebetween only when juxtaposed within the same membrane;

25 (b) determining the percent resonance energy transfer value of the resulting sample after a suitable period of time; and

30 (c) comparing the percent resonance energy transfer value so determined with a known standard, so as to quantitatively determine the ability of the antibody-containing sample to inhibit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell.

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7. A method for determining the stage or clinical prognosis of an HIV-1 infection in an HIV-1-infected subject which comprises:
- (a) obtaining an antibody-containing sample from the HIV-1-infected subject;
 - (b) quantitatively determining the ability of the antibody-containing sample so obtained to inhibit the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell by the method of claim 6; and
 - (c) comparing the ability of the antibody-containing sample to inhibit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell so determined with that of an antibody-containing sample obtained from an HIV-1-infected subject having an HIV-1 infection at a known stage or having a known clinical prognosis, so as to determine the stage of clinical prognosis of the HIV-1 infection in the HIV-1-infected subject.
8. A method for determining the efficacy of an anti-HIV-1 vaccination in a vaccinated, non-HIV-1-infected subject which comprises:
- (a) obtaining an antibody-containing sample from the vaccinated, non-HIV-1-infected subject;
 - (b) quantitatively determining the ability of the antibody-containing sample so obtained to inhibit the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell by the method of claim 6; and
 - (c) comparing the ability of the antibody-containing sample to inhibit the fusion of the CD4⁺ cell with the HIV-1 envelope glycoprotein⁺ cell so determined with that of an antibody-

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5 containing sample obtained from a vaccinated, non-HIV-1-infected subject for whom the anti-HIV-1 vaccination has a known efficacy, so as to determine the efficacy of the anti-HIV-1 vaccination in the vaccinated, non-HIV-1-infected subject.

9. A kit for determining whether an agent is capable of specifically inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell which comprises, in separate compartments:
- 10 (a) a suitable amount of a CD4⁺ cell whose cell membrane is labeled with a first dye;
- 15 (b) a suitable amount of an HIV-1 envelope glycoprotein⁺ cell whose cell membrane is labeled with a second dye, the HIV-1 envelope glycoprotein⁺ cell being capable of fusing with the CD4⁺ cell of (a) under suitable conditions in the absence of the agent, and the first and second dyes permitting resonance energy transfer therebetween only when juxtaposed within the same membrane;
- 20 (c) a suitable amount of a first control cell whose cell membrane is labeled with the first dye; and
- 25 (d) a suitable amount of a second control cell whose cell membrane is labeled with the second dye, the second control cell being capable of non-HIV-1 envelope glycoprotein-mediated fusion with the first control cell of (c) under suitable conditions in the absence of the agent.
- 30
10. A kit for determining whether an agent is capable of inhibiting the fusion of a CD4⁺ cell with an HIV-1
- 35

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envelope glycoprotein' cell which comprises, in separate compartments:

- (a) a suitable amount of a CD4⁺ cell whose cell membrane is labeled with a first dye; and
 - 5 (b) a suitable amount of an HIV-1 envelope glycoprotein' cell whose cell membrane is labeled with a second dye, the HIV-1 envelope glycoprotein' cell being capable of fusing with the CD4⁺ cell of (a) under suitable conditions
 - 10 in the absence of the agent, and the first and second dyes permitting resonance energy transfer therebetween only when juxtaposed within the same membrane.
- 15 11. A method for determining whether an HIV-1 isolate is syncytium-inducing which comprises:
- (a) obtaining a sample of an HIV-1 isolate envelope glycoprotein' cell whose cell membrane is labeled with a first dye;
 - 20 (b) contacting a suitable amount of the sample with a suitable amount of a CD4⁺ cell under conditions which would permit the fusion of the CD4⁺ cell with a syncytium-inducing HIV-1 strain envelope glycoprotein' cell, the cell
 - 25 membrane of the CD4⁺ cell being labeled with a second dye which permits resonance energy transfer between the first dye only when the first and second dyes are juxtaposed within the same membrane;
 - 30 (c) determining the percent resonance energy transfer value of the resulting sample after a suitable period of time; and
 - (d) comparing the percent resonance energy transfer value so determined with a known standard, so
 - 35 as to determine whether the HIV-1 isolate is

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syncytium-inducing.

12. A method for determining the stage of an HIV-1 infection in an HIV-1-infected subject which comprises
5 determining by the method of claim 11 whether the HIV-1 isolate with which the HIV-1-infected subject is infected is syncytium-inducing, so as to thereby determine the stage of the HIV-1 infection in the HIV-1-infected subject.
13. The method of claim 1, 4, 5, 6, 9, 10 or 11, wherein
10 the first dye is a rhodamine moiety-containing molecule and the second dye is a fluorescein moiety-containing molecule.
14. The method of claim 13, wherein the rhodamine
15 moiety-containing molecule is octadecyl rhodamine B chloride and the fluorescein moiety-containing molecule is fluorescein octadecyl ester.
15. The method of claim 1, 4, 5, 6, 9, 10 or 11, wherein
20 the first dye is a fluorescein moiety-containing molecule and the second dye is a rhodamine moiety-containing molecule.
16. The method of claim 1, 4, 5, 6, 9, 10 or 11, wherein
25 the CD4⁺ cell is a CD4⁺ HeLa cell.
17. The method of claim 1, 4, 5, 6, 9 or 10 wherein the
30 HIV-1 envelope glycoprotein⁺ cell is an HIV-1_{LAI} gp120/gp41⁺ HeLa cell.
18. An agent determined to be capable of specifically
35 inhibiting the fusion of a CD4⁺ cell with an HIV-1 envelope glycoprotein⁺ cell using the method of

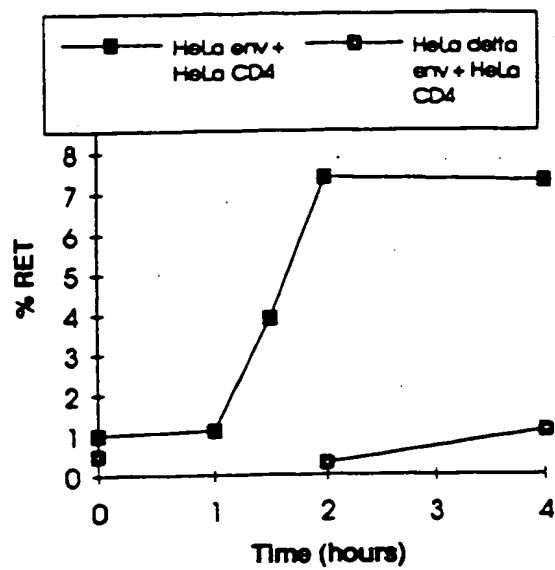
-55-

claim 1.

19. An agent determined to be capable of inhibiting the
fusion of a CD4⁺ cell with an HIV-1 envelope
glycoprotein⁺ cell using the method of claim 4.
- 5

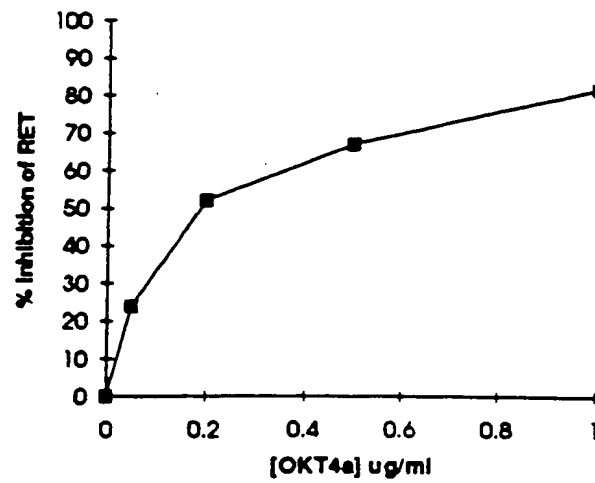
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FIGURE 1



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FIGURE 2



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FIGURE 3

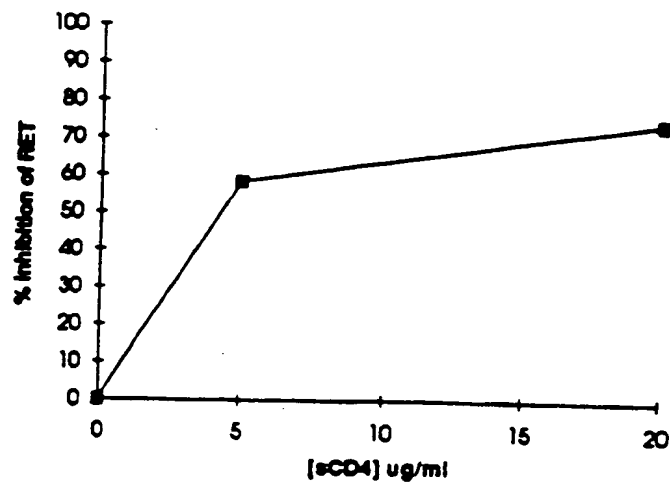
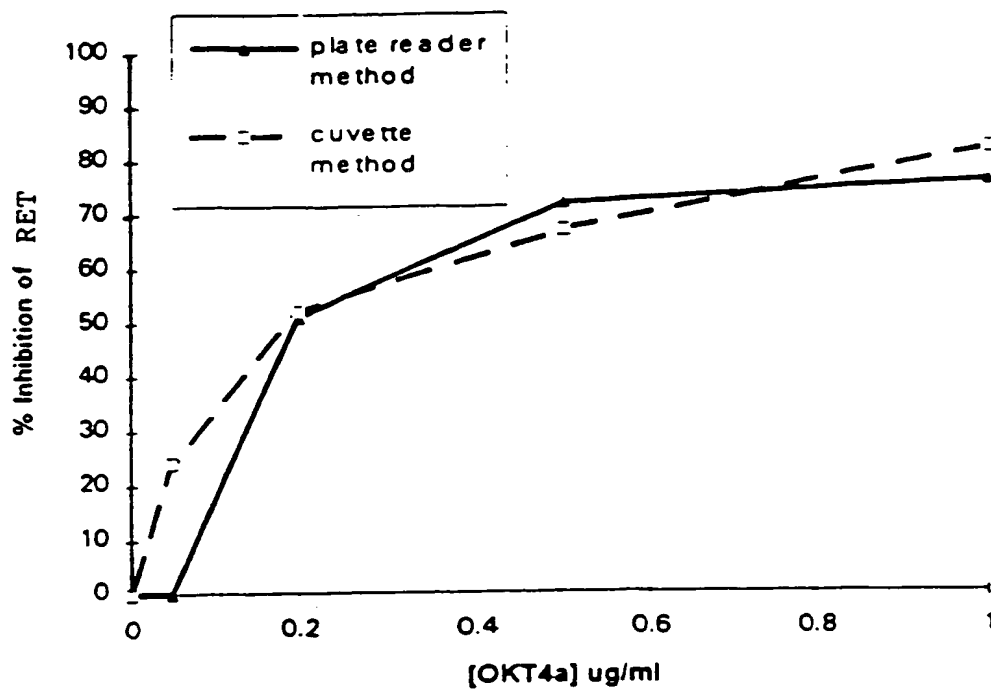


FIGURE 4



Progenics Abstract

Identification of CCR5 Coreceptor Inhibitors that Potently and Selectively Block HIV-1 Replication

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Background: The CC-chemokine receptor CCR5 is a requisite fusion coreceptor for primary HIV-1 isolates. As a seven-transmembrane G protein coupled receptor with limited tissue distribution, CCR5 represents a promising target for a new class of viral entry inhibitors. High-throughput screens for inhibitors of chemokine binding have yielded a number of CCR5 antagonists that block HIV-1 replication *in vitro*, but to date there has been no description of small molecule CCR5-targeting agents that block HIV-1 replication without antagonizing chemokine binding.

Methods: We performed high throughput screening of the Roche sample collection using a homogeneous cell-based Resonance Energy Transfer (RET) assay that recapitulates all stages of HIV-1 envelope glycoprotein-mediated membrane fusion. Active compounds from the primary screen and analogs thereof were evaluated for their antiviral, anti-chemokine and other properties in a cascade of secondary assays.

Results: Compounds were identified that specifically block CCR5-mediated, but not CXCR4-mediated, HIV-1 cell-cell and virus-cell fusion with nanomolar potency. The latter studies employed a series of env-complemented luciferase reporter viruses as well as primary HIV-1 isolates. Notably, unlike natural ligand-binding assays, this approach could identify CCR5-targeting agents that selectively block HIV-1 replication but not chemokine binding.

Conclusions: Using a high-throughput assay for HIV-1 membrane fusion, we identified small-molecule CCR5 inhibitors that selectively block CCR5's interactions with HIV-1. These compounds may represent promising lead candidates for further optimization as members of a new generation of antiretroviral agents.